

# **GWADAR-LASBELA LIVELIHOODS SUPPORT PROJECT – PHASE II (GLLSP – II)**

## **Request for Bids**

**Gwadar Lasbela Livelihood Support Project-GLLSP-II  
(PMU) on behalf of the Government of Balochistan  
Funded/Administered by the International Fund for  
Agricultural Development (IFAD)**

**for  
Procurement of Works**

## **CONSTRUCTION of ROADS**

**using  
National Competitive Bidding  
PAK-2000002331-0089-W-NCB(1.3.19)  
CONSTRUCTION OF ROAD FROM RCD TO ABDULLAH BURRAH GOTH  
(1.375 KM)  
Farm to Market Roads**

**Issue date:17-04-2025**

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## Section I. Invitation for Bids

Quetta, Pakistan

17<sup>th</sup> April, 2025

### **Gwadar Lasbela Livelihood Support Project-GLLSP-II**

**Construction of Roads- Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)**

**Construction of Road From RCD to Abdullah Burrah Goth**

1. The Gwadar Lasbela Livelihood Support Project-GLLSP-II has received financing from the International Fund for Agricultural Development (IFAD) and intends to apply a part of the proceeds to the financing of this procurement. The use of any IFAD financing shall be subject to IFAD's approval, pursuant to the terms and conditions of the financing agreement, as well as IFAD's rules, policies and procedures. IFAD and its officials, agents and employees shall be held harmless from and against all suits, proceedings, claims, demands, losses and liability of any kind or nature brought by any party in connection with Gwadar Lasbela Livelihood Support Project-GLLSP-II.
2. The Gwadar Lasbela Livelihood Support Project-GLLSP-II includes The proposed project (GLLSP II) is a second phase of the IFAD Funded Gwadar-Lasbela Livelihood Support Project. The GLLSP II will consolidate the results already achieved and will geographically cover the same two districts i.e. Gwadar & Lasbela. The target districts have high levels of poverty. The area is predominantly rural where households (around 133,000 in total) depend mainly on agriculture, livestock and fisheries resources exploited in an unsustainable manner. Women are socially and economically disadvantaged and the present status of nutrition and food security is alarming. Investments in the development of youth skills remain low. There is considerable potential for development of fisheries, livestock and agriculture as well as youth empowerment with the right combination of infrastructure, human development and value chain investments.
3. The Project will benefit around 100,000 hhs and cover the overall 400 villages of the two districts during a six-year implementation period. The GLLSP II Development Objective is "to sustainably increase the incomes and enhance the livelihoods of the rural poor fisherfolk's and agricultural households in the project area".
4. This IFB follows the General Procurement Notice that appeared on the national newspapers in Daily Jung Quetta.
5. The employer now invites sealed bids from eligible entities ("bidders") for the execution and completion of Construction of Road From RCD to Abdullah Burrah Goth , which are being bid as a unit price contract based on the bill of quantities.<sup>1</sup>
6. This IFB is open to all eligible bidders who wish to participate subject to the restrictions stipulated in the bidding document.

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<sup>1</sup> In fixed price contracts, delete "Bill of Quantities" and replace with "Activity Schedule".

7. A contractor will be selected through Single Stage Two Envelope procedure using the national competitive bidding (NCB) method in accordance with the IFAD Procurement Handbook accessible at [www.ifad.org/project-procurement](http://www.ifad.org/project-procurement). The NCB process will include a review and verification of qualifications and past performance, including a reference check, prior to the contract award.
8. A pre-bid conference will be held on 30<sup>th</sup> April 2025 at 12:00 PM as the details provided in the Bid Data Sheet ("BDS"), Section III of the bidding document. Bidders interested in submitting a bid shall obtain the bidding document from GLLSP-II PIU Project Implementation Unit, GLLSP - II, GLLSP-II PIU New Town Phase 1, Main Airport Road Gwadar.

Authorized Official  
Abdul Salam  
Infrastructure Specialist  
PIU Gwadar  
0332-7287938

9. Bids must be delivered to the address and in the manner specified in the BDS ITB 22.1, no later than 20<sup>th</sup> May 2025 at 11:00 AM.
10. Bidders should be aware that late bids will not be accepted under any circumstance and will be returned unopened at the written request and cost of the bidder. All bids must be accompanied by a bid security in the manner and amount specified in the Bid Data Sheet.
11. Please note that electronic bids shall not be accepted.
12. Joint Venture shall not be allowed.

**Yours Sincerely,**

**Rehmat Dashti**  
**Project Director**

## Section II. Instructions to Bidders

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## Instructions to Bidders

- 1. Scope of bid and funding**
  - 1.1 The employer, as defined in the Bidding Data Sheet (BDS), invites bids for the construction of works, as described in the BDS. The name and identification number of the contract is provided in the BDS.
  - 1.2 The successful bidder shall be expected to complete the works by the required completion date specified in the SCC.
- 2. Source of funds**
  - 2.1 The “Gwadar Lasbela Livelihood Support Project- GLLSP-II” has received a financing from the International Fund for Agricultural Development (“the Fund” or “IFAD”) in various currencies equivalent to ‘PKR. 12,328.55 Million’ and intends to apply a portion of the proceeds of this loan/grant to eligible payments under this contract.
- 3. Prohibited practices**
  - 3.1 The Fund requires that all beneficiaries of IFAD funding, including the employer and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel observe the highest standards of ethics during the procurement and execution of such contracts, and comply with IFAD’s Policy on Preventing Fraud and Corruption in its Activities and Operations, revised on 12 December 2018 and attached as Section XI (G) (EB 2018/125/R.6, hereinafter “IFAD’s Anti-Corruption Policy”).
  - 3.2 For the purposes of these provisions, and consistent with IFAD’s Anticorruption Policy, the terms set forth below are defined as follows, and sometimes referred to collectively as “prohibited practices”:
    - (a) “corrupt practice” is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
    - (b) “fraudulent practice” is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;
    - (c) “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;

- (d) “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of any party, to improperly influence the actions of that or another party;
- (e) “obstructive practice” is (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund’s contractual rights of audit, inspection and access to information.

3.3 The Fund will deny approval of a proposed contract award if it determines that the firm or individual recommended for award, or any of its personnel or agents, or its sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices in connection with an IFAD-financed and/or IFAD-managed activity or operation, including in competing for the contract.

3.4 In accordance with IFAD’s Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. This may include ineligibility to: (i) be awarded or otherwise benefit from any IFAD-financed contract, financially or in any other manner; (ii) be a nominated sub-contractor, consultant, manufacturer, supplier, sub-supplier, agent or service provider of an otherwise eligible firm being awarded an IFAD-financed contract; and (iii) receive the proceeds of any loan or grant provided by the Fund.<sup>2</sup> The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment Decisions if such debarments meet the

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<sup>2</sup> For the avoidance of doubt, a sanctioned party’s ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.



requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.

3.5 In addition, the Fund has the right to, at any time, declare a misprocurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that prohibited practices occurred in connection with this procurement process or contract and that the borrower/recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.

3.6 Bidders, suppliers, consultants, contractors, and their sub-contractors, sub-consultants, service providers, suppliers, agents and personnel, are required to fully cooperate with any investigation conducted by the Fund into possible prohibited practices, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, records and documents audited and/or inspected<sup>3</sup> by auditors and/or investigators appointed by the Fund.

3.7 The bidder is obliged to disclose relevant prior sanctions and criminal convictions and any commissions or fees paid or are to be paid to any agents or other party in connection with this procurement process or the execution of the contract.

3.8 The bidder shall keep all records and documents, including electronic records, relating to this procurement process available for a minimum of three (3) years after notification of completion of the process or, in case the bidder is awarded the contract, execution of the contract.

#### **4. Sexual Harassment, Sexual exploitation and Abuse**

4.1 The Fund requires that all beneficiaries of IFAD Funding, including the employer and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel comply with IFAD's Policy on

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<sup>3</sup> Inspections include all fact-finding activities deemed relevant by the Fund to address allegations or other indications of possible Prohibited Practices. Such fact-finding activities may include, but are not limited to: accessing and examining a firm's or individual's financial records and information, and making copies thereof as relevant; accessing and examining any other documents, data or information (whether in hard copy or electronic format) deemed relevant for the investigation or audit, and making copies thereof as relevant; interviewing staff and other relevant individuals; performing physical inspections and site visits; and obtaining third party verifications of information. It is the responsibility of the firm or individual under inspection to ensure effective compliance with their duty to cooperate vis-à-vis any potential local laws or regulations or other potentially conflicting obligations.

Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. For the purpose of this provision, and consistent with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse as it may be amended from time to time, the terms set forth below are defined as follows:

- (a) Sexual harassment means "any unwelcome sexual advance, request for sexual favour or other verbal, non-verbal or physical conduct of a sexual nature that unreasonably interferes with work, alters or is made a condition of employment, or creates an intimidating, hostile or offensive work environment.
- (b) Sexual exploitation and abuse means "any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of others (sexual exploitation); the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions (sexual abuse)".

4.2 Employers, suppliers and bidders shall take all appropriate measures to prevent and prohibit sexual harassment and sexual exploitation and abuse on the part of their personnel and subcontractors or anyone else directly or indirectly employed by them or any of subcontractors in the performance of the contract. Contractors, suppliers and bidders shall immediately report to the employer or IFAD any incidents of sexual harassment and sexual exploitation and abuse arising out of or in connection with the performance of the contract or prior to its execution, including convictions, disciplinary measures, sanctions or investigations. The employer may take appropriate measures, including the termination of the contract, on the basis of proven acts of sexual harassment, sexual exploitation and abuse arising out of or in connection with the performance of the contract.

4.3 The bidder or subcontractor or supplier is required to disclose any relevant prior sanctions, convictions, disciplinary measures or criminal records.

## **5. Money laundering and terrorist financing**

5.1 The Fund requires that all beneficiaries of IFAD funding or funds administered by IFAD, including the employer, any bidders, implementing partners, service providers and suppliers, observe the highest standards of integrity during the procurement and execution of such contracts, and commit to combat money laundering and terrorism financing consistent with IFAD's Anti-Money Laundering and Countering the Financing of Terrorism Policy.

**6. SECAP performance standards**

6.1 The resulting contract will be implemented in a manner consistent with IFAD's Social, Environmental and Climate Assessment Procedures (SECAP), available on <https://www.ifad.org/en/secap>.

**7. Eligible bidders and conflict of interest**

7.1 This invitation to bid is open to all national bidders with the required classification as given in the BDS.

7.2 Any eligible entity may bid independently or in a joint venture. In the case where a bidder is or proposes to be a JV (a) all members will be jointly and severally liable for the execution of the contract; and (b) the JV will nominate a representative who will have the authority to conduct all business for and on behalf of any and all the members of the JV.

7.3 A bidder shall not have any actual, potential or reasonably perceived conflict of interest. A bidder shall declare in the bid submission form any actual, potential or reasonably perceived interest, regardless of its nature, that affects, may affect, or might reasonably be perceived by others to affect, impartiality in any matter relevant to the procurement process, including the selection process and the execution of the contract. A bidder with an actual, potential or reasonably perceived conflict of interest shall be disqualified, unless otherwise explicitly approved by the Fund. The employer requires that the bidder and the supplier hold the project's interests as paramount at all times, strictly avoiding any actual, potential or reasonably perceived conflicts of interest, including actual, potential or reasonably perceived conflicts with other assignments or their own personal and/or corporate interests, and act without any consideration for any other ongoing or future work. Without limitation on the generality of the foregoing, a bidder or supplier, including all parties constituting the bidder or supplier and their respective personnel and affiliates, as well as any subcontractors for any part of the contract, including related services, and their respective personnel and affiliates, may be considered to have an actual, potential or reasonably perceived conflict of interest and disqualified or terminated if they:

- a) have, may have or might reasonably appear to have at least one controlling partner in common with one or more other parties in the process contemplated by this bidding document or the execution of the contract; or
- b) have, may have or might reasonably appear to have the same legal representative as another bidder for purposes of this bid or execution of the contract; or

- c) have, may have or might reasonably appear to have a relationship, directly or through common third parties, that puts them in a position to have access to undue or undisclosed information about or influence over the bid process and the execution of the contract, or influence the decisions of the employer regarding the selection process for this procurement or during the execution of the contract; or
- d) participate, may participate or might reasonably appear to participate in more than one bid in this process; participation by a bidder in more than one bid shall result in the disqualification of all bids in which the party is involved; however, this provision does not limit the inclusion of the same subcontractor in more than one bid; or
- e) are themselves, may be or might reasonably appear to be, or have, may have or might reasonably appear to have a business or family relationship with, a member of the employer's board of directors or its personnel, the Fund or its personnel, or any other individual was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of this bidding document, (ii) the selection process for this procurement, or (iii) execution of the contract, unless the actual, potential or reasonably-perceived conflict stemming from this relationship has been explicitly authorized by the Fund.

7.4 A bidder and the supplier shall have an obligation to disclose any situation of actual, potential or perceived conflict of interest that impacts, may impact, or might reasonably appear to be perceived by others to impact, their capacity to serve the best interest of the employer. Failure to properly disclose any of said situations may lead to appropriate actions, including the disqualification of the bidder, the termination of the Contract and any other as appropriate under the IFAD Policy on Preventing Fraud and Corruption in its Projects and Operations.

7.5 A bidder or supplier, all parties constituting the bidder or supplier, and any subcontractors for any part of the contract, including related services, and their respective personnel and affiliates, will not be any person or entity under a declaration of ineligibility by the Fund for having engaged in prohibited practices as contemplated by ITB clause 3 above. The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment

Decisions if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.

7.6 A bidder or contractor, all parties constituting the bidder or contractor, and any subcontractors for any part of the contract, including related services, and their respective personnel and affiliates not otherwise made ineligible for a reason described in this ITB clause 7 will nonetheless be excluded if:

- a) as a matter of law or official regulation, the government prohibits commercial relations with the country of the bidder or supplier (including any associates, subcontractors and any respective affiliates) provided that the Fund is satisfied that such exclusion does not preclude effective competition for the supply of goods or the contracting of works or services required; or
- b) by an act of compliance with a decision of the United Nations Security Council taken under Chapter VII of the Charter of the United Nations, the government prohibits the issuance of a payment.

## **8. Qualification of the bidder**

8.1 All bidders shall provide in accordance with Section IV of the bidding document, qualification information, a preliminary description of the proposed work method and schedule, including drawings and charts, as necessary.

8.2 To qualify for award of the contract, bidders shall meet the following minimum

- a) Annual volume of construction work during past two years of at least the amount specified in the BDS;
- b) experience as prime contractor in the construction of at least one works of a nature and complexity equivalent to the works over the last 2 years (to comply with this requirement, works cited should be at least 80 percent complete);
- c) proposals for the timely acquisition (own, lease, hire, etc.) of the essential equipment listed in the BDS; and
- d) key personnel required for the performance of the contract with the qualifications and experience detailed in the BDS and Section IV.

- 9. One Bid per Bidder** 9.1 Each bidder shall submit only one bid, either individually or as a partner in a joint venture. Any bidder who submits or participates in more than one bid will be disqualified. Partners in a joint venture shall be jointly and severally liable for the execution of the contract.
- 10. Cost of bidding** 10.1 The bidder shall bear all costs associated with the preparation and submission of his bid, and the employer will in no case be responsible or liable for such costs.
- 11. Pre-bid meeting and site visit** 11.1 If so provided for in the BDS, the employer invites the bidder's authorized representative to attend a pre-bid meeting at the place, time and date indicated. The purpose of the pre-bid meeting will be to clarify the issues and to answer questions on any matter that may be raised at that stage.
- 11.2 The bidder, at the bidder's own responsibility and risk, is encouraged to visit and examine the site of works and its surroundings and obtain all information that may be necessary for preparing the bid and entering into a contract for construction of the works. The costs of visiting the site shall be at the bidder's own expense.
- 12. Contents of bidding documents** 12.1 The set of bidding documents comprises the documents listed in the table below and addenda in accordance with ITB 13:
- I. Invitation to Bid
  - II. Instruction to Bidders
  - III. Bid Data Sheet
  - IV. Qualification and Evaluation Criteria
  - V. Bidding Forms
  - VI. General Conditions of Contract
  - VII. Special Conditions of Contract
  - VIII. Specifications
  - IX. Drawings
  - X. Bill of Quantities
  - XI. Contract Forms

- 13. Clarification and amendments of bidding documents** 13.1 A prospective bidder may request clarification of the bidding documents from the employer in writing. The employer shall respond to such requests if received within 14 calendar days prior to the deadline for the submission of bids. The employer shall also send copies of its response to all bidders who have purchased the bidding documents without identifying the originator of the request. Similarly, prior to the bid submission deadline, the employer may modify the bidding documents by issuing addenda.
- 14. Language of bid** 14.1 All documents relating to the bid and contract shall be in English.
- 15. Documents comprising the bid** 15.1 The bid submitted by the bidder shall comprise the following:
- a) The Letter of Bid (in the format indicated in Section V);
  - b) Bid Security or Bid-Securing Declaration;
  - c) Priced Bill of Quantities or priced Activity Schedule;
  - d) list of key personnel and their qualifications as specified in the BDS;
  - e) qualification Information Form and supporting Documents; and;
  - f) The Bidder's Technical Proposal and any other documents required to be completed and submitted by bidders, as specified in the BDS.
- 16. Bid prices** 16.1 The contract shall be for whole works, as described in ITB 1.1, based on the priced bill of quantities or priced activity schedule for lump sum contracts submitted by the bidder. The type of contract (unit price based on bill of quantities or lump sum based on activity schedule) is specified in the BDS.
- 16.2 The bidder shall fill in rates and prices for all items of the works described in the bill of quantities (for lump sum contracts, an amount against each activity schedule as described in the drawings and specifications). Items for which no rate or price is entered by the bidder will not be paid for by the employer when executed and shall be deemed covered by the other rates and prices in the bill of quantities or activity schedule. The bidder shall also fill in any applicable discounts in its letter of bid.

16.3 All duties, taxes, and other levies payable by the contractor under the contract, or for any other cause, as of the date 15 calendar days prior to the deadline for submission of bids, shall be included in the rates, prices, and total bid price submitted by the bidder (for lump sum contracts, “the rates and prices” are not applicable).

16.4 The rates and prices (or the lump sum price) quoted by the bidder shall be fixed for the duration of the contract and shall not be subject to any adjustment of any kind.

**17. Currency of bid and payment**

17.1 The currency in which payments shall be made to the contractor under this contract shall be those in which the bid price was expressed. Bid prices shall be expressed in the currency/ies specified in the BDS.

**18. Bid validity**

18.1 Bids shall remain valid for the period specified in the BDS. The employer may request that the bidders extend the period of validity for a specified additional period. The request and the bidders’ responses shall be made in writing. A bidder agreeing to the request will not be required or permitted to otherwise modify the bid, but will be required to extend the validity of bid security or bid-securing declaration for the period of the extension requested by the employer.

**19. Bid security**

19.1 The bidder shall furnish, as part of its bid, either a bid security or a bid-securing declaration, as specified in the BDS.

19.2 If a bid security is required, it shall be in the amount and currency specified in the BDS, and shall be in one of the following forms:

- a) a bank guarantee or an irrevocable letter of credit issued by a reputable bank located in the employer’s country or abroad, in the form provided in the bidding documents or another form acceptable to the employer and valid for thirty (30) days beyond the validity of the bid; or
- b) a cashier’s or certified cheque.

19.3 Any bid not secured in accordance with this ITB 19 will be rejected by the employer as non-responsive, pursuant to ITB clause 28.

19.4 Unsuccessful bidders’ bid securities will be discharged or returned as promptly as possible but not later than thirty (30) days after the expiration of the period of bid validity prescribed by the employer pursuant to ITB clause 18.



19.5 The successful bidder's bid security (if applicable) will be discharged upon the bidder signing the contract, pursuant to ITB clause 35, and furnishing the performance security, pursuant to ITB clause 37.

19.6 The bid security may be forfeited:

a) If a Bidder:

- i. Withdraws its bid during the period of bid validity specified by the bidder on the letter of bid, or
- ii. Does not accept the correction of errors pursuant to ITB clause 29.1(c); or

b) In the case of a successful bidder, if the bidder fails:

- i. to sign the contract in accordance with ITB clause 35; or
- ii. to furnish performance security in accordance with ITB clause 37.

## **20. Format and signing of bid**

20.1 The bidder shall prepare one original of the documents comprising the bid as described in ITB Clause 15 clearly marked "original". In addition, the bidder shall submit copies of the bid, in the number specified in the BDS, and clearly marked as "copies". In the event of discrepancy between them, the original shall prevail.

20.2 The original and all copies of the bid shall be typed or written in indelible ink and shall be signed by a person or persons duly authorized to sign on behalf of the bidder. All pages of the bid where entries or amendments have been made shall be by the person or persons signing the bid.

20.3 The bid shall contain no alterations or additions, except those to comply with instructions issued by the employer, or as necessary to correct errors made by the bidder, in which case such corrections shall be initialed by the person or persons signing the bid.

## **21. Sealing and marking of bids**

21.1 The bidder shall seal the original and all copies of the bid in two inner envelopes and one outer envelope, duly marking the inner envelopes as "original" and "copies".

21.2 The inner and outer envelopes shall

- a) be addressed to the employer at the address provided in the BDS;

- b) bear the name and identification number of the contract as defined in the BDS ITB 1.1; and
- c) provide a warning stating that a “bid inside” and that the envelope is not to be opened before the specified time and date for bid opening as defined in the BDS.

21.3 In addition to the identification required in ITB sub-clause 21.2, the inner envelopes shall indicate the name and address of the Bidder to enable the bid to be returned unopened in case it is declared late, pursuant to ITB sub-clause 23.1.

21.4 If the outer envelope is not sealed and marked as above, the employer will assume no responsibility for the misplacement or premature opening of the bid.

## **22. Deadline for submission of Bids**

22.1 Bids shall be delivered to the employer at the address specified above no later than the time and date specified in the BDS.

22.2 The employer may extend the deadline for submission of bids by issuing an amendment in accordance with ITB sub-clause 13.1, in which case all rights and obligations of the employer and the bidders previously subject to the original deadline will then be subject to the new deadline.

## **23. Late bids**

23.1 Any bid received by the employer after the deadline prescribed in the ITB BDS 22 will be returned unopened to the bidder.

## **24. Modification and withdrawal of bids**

24.1 Bidders may modify or withdraw their bids by giving notice in writing before the deadline prescribed in the ITB BDS 22.

24.2 Each bidder's modification or withdrawal notice shall be prepared, sealed, marked, and delivered in accordance with ITB clause 21, with the outer and inner envelopes additionally marked “modification” or “withdrawal”, as appropriate.

24.3 No bid may be modified after the deadline for submission of bids

24.4 Withdrawal of a bid between the deadline for submission of bids and the expiration of the period of bid validity as extended all pursuant to the ITB sub-clause 18.1 may result in the forfeiture of the bid security pursuant to ITB sub-clause 19.6.

24.5 Bidders may offer discounts, or otherwise modify the prices of their bids by submitting bid modifications in accordance with this clause, or included in the original bid submission.

<b>25. Bid opening</b>	25.1 The employer will open the bids, including modifications in the presence of the bidders' representatives who choose to attend at the time and in the place specified in the BDS. The bidders' names, the bid prices, the total amount of each bid, any discounts, any bid modifications and withdrawals, the presence or absence of bid security or bid-securing declaration will be announced by the employer at the opening.
<b>26. Process to be confidential</b>	26.1 Information relating to the examination, clarification, evaluation, and comparison of bids and recommendations for the award of a contract shall not be disclosed until the notice of Intent to award to the successful bidder has been dispatched to all bidders.
<b>27. Clarification of bids</b>	27.1 To assist in the examination, evaluation, and comparison of bids, the employer may, at the employer's discretion, ask any bidder for clarification of the bidder's bid. The request for clarification and the response shall be in writing or by email, or facsimile, but no change in the price or substance of the bid shall be sought, offered, or permitted except as required to confirm the correction of arithmetic errors discovered by the employer in the evaluation of the bids in accordance with ITB clause 29.
<b>28. Examination of Bids and Determination of Responsiveness</b>	<p>28.1 Prior to the detailed evaluation of bids, the employer will determine whether each bid is substantially responsive to the requirements of the bidding documents and that the original of a valid bid security or bid-securing declaration is enclosed within the bid. A substantially responsive bid is one which conforms to all the terms, conditions, and specifications of the bidding documents, without material deviation or reservation. A material deviation or reservation is one (a) which affects in any substantial way the scope, quality, or performance of the works; (b) which limits in any substantial way, inconsistent with the bidding documents, the employer's rights or the bidder's obligations under the contract; or (c) whose rectification would unfairly affect the competitive position of other bidders presenting substantially responsive bids.</p> <p>28.2 If a bid is not substantially responsive, it will be rejected by the employer, and may not subsequently be made responsive by correction or withdrawal of the non-conforming deviation or reservation.</p> <p>28.3 If so indicated in the BDS, the employer will assign a technical score to each responsive bid based on the quality of the technical proposal submitted by the bidder in its bid.</p>

**29. Correction of errors**

29.1 Bids determined to be substantially responsive will be checked by the employer for any arithmetic errors. Errors will be corrected by the employer as follows:

- a) Where there is a discrepancy between the amounts in figures and in words, the amount in words will govern; and
- b) Where there is a discrepancy between the unit rate and the line item total resulting from multiplying the unit rate by the quantity, the unit rate as quoted will govern;
- c) If a bidder refuses to accept the correction, its bid will be rejected and the corresponding bid security shall be forfeited in accordance with sub-clause 19.6.

**30. Evaluation and comparison of bids**

30.1 The employer will evaluate and compare only the bids determined to be substantially responsive in accordance with ITB Clause 28.

30.2 In evaluating the bids, the employer will determine the bid which offers the best value for money; namely either the bid with the lowest evaluated bid price or the bid with the highest combined score of quality and price whichever method is indicated in the BDS. In order to arrive at the evaluated bid price the employer shall proceed as follows:

- a) Making any correction for errors pursuant to ITB clause 29;
- b) Excluding provisional sums and the provision, if any, for contingencies in the bill of quantities (or activity schedule for lump sum contracts), but including daywork, where priced competitively;
- c) Making appropriate adjustments to reflect discounts or other price modifications offered in accordance with ITB sub-clause 16.2.
- d) Making appropriate adjustments for Alternative offers with respect to time for completion or payment terms or any other evaluation factors, if so stipulated in the BDS and as per the application methodology indicated in Section IV-Qualification and Evaluation Criteria.

30.3 The employer may waive any minor informality or non-conformity which does not constitute a material deviation, provided such waiver does not prejudice or affect the relative standing of any bidder.

- 31. Award criteria** 31.1 Subject to ITB clause 28 and ITB clause 30, the employer will award the contract to the bidder whose bid has been determined to be substantially responsive to the bidding documents and who has offered the best value for money, provided that such bidder is qualified and eligible in accordance with the provisions of ITB clause 7 and ITB clause 8.
- 32. Employer's right to accept any bid and to reject any or all bids** 32.1 Notwithstanding ITB clause 31, the employer reserves the right to accept or reject any bid, and to cancel the bidding process and reject all bids, at any time prior to the award of contract, without thereby incurring any liability to the affected bidder or bidders or any obligation to inform the affected bidder or bidders of the grounds for the employer's action.
- 33. Notice of intent to award** 33.1 Prior to the expiration of the period of bid validity, the employer shall send the notice of intent to award to the successful bidder with a copy to all other bidders. The notice of intent to award shall include a statement that the employer shall issue a formal notification of award and the draft contract agreement after expiration of the period for filing bid protests and the resolution of any bid protests that are submitted. Delivery of the notice of intent to award shall not constitute the formation of a contract between the employer and the successful bidder and no legal or equitable rights will be created through the delivery of the notice of intent to award.
- 34. Bid protest** 34.1 Bidders may protest the results of a procurement only according to the rules established in module M of the IFAD Procurement Handbook.
- 35. Notification of award and signing of agreement** 35.1 The bidder whose bid has been successful will be notified of the award by the employer prior to expiration of the bid validity period by registered letter. This letter (hereinafter and in the condition of contract called the "Letter of Acceptance") will state the sum that the employer will pay the contractor in consideration of the execution, completion, and maintenance of the works by the contractor as prescribed by the contract (hereinafter and in the contract called the "contract price").
- 35.2 The notification of award will constitute the formation of the contract.
- 35.3 The employer will send the successful bidder the agreement form provided in the bidding documents incorporating all agreements between the employer and the successful bidder. It will be sent to the successful bidder within 14 calendar days following the notification of award. Within 14 calendar days of receipt, the successful bidder shall sign the agreement and deliver it to the employer.

35.4 Upon receipt of the signed agreement from the bidder, the employer will promptly notify the other bidders that their bids have been unsuccessful.

**36. Advance payment**

36.1 The employer will provide an advance payment as stipulated in the conditions of contract, subject to a maximum amount, as stated in the BDS. For receiving the advance payment, the bidder shall make an estimate of and include the requirement in its bid, the expenses that will be incurred in order to commence work. These expenses will relate to the purchase of equipment, machinery materials, and for the engagement of staff/labour during the first month beginning with the date of the employer's "notice to proceed" as specified in the SCC.

**37. Performance security**

37.1 Within twenty-eight (28) days after receipt of the letter of acceptance, the successful bidder shall deliver to the employer a performance security in accordance with the terms of GCC clause 37, and for the amount specified in the BDS, using for that purpose the form of performance security included in Section XI, Contract Forms, or another form acceptable to the employer. A foreign institution providing the performance security shall have a correspondent financial institution located in the employer's country.

37.2 Failure of the successful bidder to submit the above-mentioned performance security or to sign the contract within twenty-eight (28) days of the receipt of the letter of acceptance shall constitute sufficient grounds for the annulment of the award and forfeiture of the bid security. In the event, the employer may award the contract to the next ranking bid that is substantially responsive and which is submitted by a bidder who is determined by the employer to be qualified to perform the contract satisfactorily.

## Section III. Bid Data Sheet (BDS)

Instructions to Bid (ITB) Reference	Data relevant to the ITB			
1.1	<p>The employer is: <b>Project Director, Project Management Unit, (PMU), Gwadar-Lasbela Livelihoods Support Project Phase – II (GLLSP-II), Planning and Development Department Government of Balochistan</b></p> <p>The works consist of:</p> <p><b>Construction of Road From RCD to Abdullah Burrah Goth</b></p> <p>The name and identification number of the contract is:</p> <p><b>Construction of Road From RCD to Abdullah Burrah Goth</b> <b>- Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)</b></p>			
7.1	<p>Eligible bidders are:-</p> <p>Bid is open to all eligible bidders meeting following mandatory requirements.</p> <ol style="list-style-type: none"><li><b>Valid Registration with Pakistan Engineering Council in relevant category and discipline Code(CE 01,CE 10)</b></li><li><b>Active Registration with Income Tax Department</b></li><li><b>Active Registration with Balochistan Revenue Authority.</b></li><li><b>Completed atleast Three similar Projects (i.e. Roads) in last five years.</b></li><li><b>List of litigation (if any) their nature and status / outcomes;</b></li><li><b>Affidavit that firm has never been blacklisted;</b></li></ol>			
7.2	No Joint venture(JV) allowed			
8.2 a	The minimum required annual volume of construction work for the successful bidder in any of the last two years shall be 38 Million PKR.This will be verified through audited financial statements.			
8.2 c	The essential / minimum equipment to be made available for the contract by the successful bidder shall be:			
	Sr. No.	Description of Equipment	Capacity & Horsepower	Minimum Requirement

				<b>(Qty. in No.)</b>
	<b>1</b>	Bulldozer	200HP	<b>1</b>
	<b>2</b>	Motor Grader	140 HP	<b>1</b>
	<b>3</b>	Vibratory Roller	10 - 12 Ton	<b>2</b>
	<b>4</b>	Pneumatic Roller	18 - 21 Ton	<b>2</b>
	<b>5</b>	Dump Truck	18 Ton	<b>4</b>
	<b>6</b>	Excavator	105 HP	<b>1</b>
	<b>7</b>	Asphalt Paver	145 HP (3-6m wide)	<b>1</b>
	<b>8</b>	Asphalt Plant	120 Ton/hr	<b>1</b>
	<b>9</b>	Concrete Batching Plant	30 Cum	<b>1</b>
	<b>10</b>	Concrete Transit Mixer	6 Cum	<b>1</b>
<b>8.2 d</b>	<p><b>The Bidder must demonstrate that it has the personnel for the key positions that meet the following requirements:</b></p> <ol style="list-style-type: none"> <li>1. Project Manager (Civil Engineer with 7 years specific experience)</li> <li>2. Field Supervisor (Diploma in Civil Engineering with 5 years specific experience.</li> <li>3. Quantity Surveyor (Diploma in Civil Engineering with 5 years specific experience).</li> <li>4. Surveyor (Diploma in Civil Engineering with 5 years General experience).</li> </ol> <p>Detailed requirements about years of experience and professional profile of each key staff member are presented in Section IV- Qualification and Evaluation.</p> <p>Each bidder is required to demonstrate that it has sufficient capacity and experience in (a) Project Administration and Financial Management (b) Ensuring compliance with applicable SECAP requirements as set out in this Bid Document.</p>			
<b>9.1</b>	Not Applicable			
<b>11.1</b>	<p>Pre-bid meeting will be held on 30<sup>th</sup> April 2025 at 12:00 PM</p> <p>The pre-bid meeting shall be held at the following address: <b>Project Implementation Unit, GLLSP - II, GLLSP-II PIU New Town Phase 1, Main Airport Road Gwadar</b></p>			



15.1(f)	<i>Bidders shall submit: technical proposal including methodology statement, site organization, manning levels and categories, detailed construction program and complete criteria for qualification</i>
16.1	This shall be a “Unit Price Contract based on Priced Bill of Quantities”
17.1	The currency(ies) of the bid shall be as follows: The currency that shall be used for bid evaluation and comparison is: <i>Pak Rupees.</i>
18.1	The period of bid validity shall be 90 days after the deadline for bid submission specified in the BDS.
19.1 & 19.2	The amount of bid security shall be 800,000/=
20.1	The number of copies (in addition to the original) of the bid to be completed and returned shall be <i>01 Original and 02 Copies</i>
21.2(a) & 21.2(c) & 22.1	The employer’s address for the purpose of bid submission is <b>Project Implementation Unit, GLLSP - II, GLLSP-II PIU New Town Phase 1, Main Airport Road Gwadar..</b>
22.1 & 25.1	The deadline for submission of bids shall be 20 <sup>th</sup> May 2025 at 11:00 AM and bids shall be opened immediately thereafter 20 <sup>th</sup> May 2025 at 12:00 PM <b>Project Implementation Unit, GLLSP - II, GLLSP-II PIU New Town Phase 1, Main Airport Road Gwadar.</b>
28.3	Not Applicable Done on Pass/Fail basis
30.2	The best value for money bid evaluation methodology to be used by the employer in evaluating the bids is: Lowest Evaluated Price
30.2 (d)	Alternative Time for Completion <i>not allowed</i> Alternative Payment Terms <i>not allowed</i>
34.1	Any bidder that believes that the bidding process is not transparent or an injustice etc has been done to the bidder, the bidder may file a protest to the project’s complaint redressal committee.
36.1	The advance payment shall be limited to <u>10 to 15</u> percent of the contract price.
37.1	The form, amount and currency of the performance security shall be a Bank Guarantee and AAA or AA ranking Insurance bond representing 10% of the respective contract price.

## **Section IV. Qualification and Evaluation Criteria**

### **1. . Process**

This section contains all the criteria that the employer shall use to examine and evaluate bids, qualify bidders and select the winning bid. The bidder shall provide all the information requested in the forms included in Section V, Bidding Forms.

The employer shall evaluate the bids as follows:

#### **A. Bid Examination.**

A1. Administrative Examination. This review is conducted to determine that the bid is complete, all required documents are included, all forms are included and are completed and the bid duly signed by the bidder's authorized representative. The bidder may be requested to submit additional information or documentation within a reasonable period of time and/or to correct nonmaterial nonconformities in the bid related to documentation requirements. Determinations made during this review include:

- Determine if the bid is sealed, signed and marked as per the requirements of ITB 20 and ITB 21;
- Determine if the bid security or bid-securing declaration in the correct format is enclosed;
- Determine eligibility of bidder and if it meets required classification;
- Determine if all required forms are included and completed.

A2. Responsiveness Determination. This review will be conducted to determine if the bid is substantially responsive as explained in ITB 27. A substantially responsive bid is one that meets the requirements of the bidding document without material deviation, reservation, or omission. If a bid is not substantially responsive to the requirements of the bidding document, it shall be rejected by the employer and may not be subsequently made responsive by correction of the material deviation, reservation, or omission. However, the employer may request any bidder to clarify its bid according to the procedures set out in ITB 27. Responsiveness determination is based upon a detailed technical review according to the details given below.

#### Technical Review for Responsiveness Determination:

Documents Comprising the Technical Proposal of Bid. The bidder shall furnish a technical offer including a statement of work methodology, Work plan , equipment, personnel, schedule, and other information as stipulated in Section V Bidding Forms, in sufficient detail to demonstrate the adequacy of the bidder's bid to meet the work requirements and the completion time including environmental and social and safety requirements.

Assessment of adequacy / quality of the technical proposal of the bid. Review of the bidder's technical offer will include an assessment of the bidder's proposed technical method and approach and its consistency with the environmental and social requirement of the employer as documented in the specifications/works requirements Section VIII. The employer will also

evaluate the adequacy of the bidder's program (construction schedule) and its ability to mobilize key equipment and personnel for the contract.

The required key contractor personnel profile and years of experience are listed in the table below:

	<b>Title</b>	<b>Years of General Construction Experience</b>	<b>Years of Specific Construction Experience</b>
1	Project Manager	10	07
2	Field Supervisor	05	05
3	Surveyor	5	0
4	Quantity Surveyor	5	5

Each bidder is required to demonstrate that it has sufficient capacity and experience in (a) Project Administration and Financial Management (b) Ensuring compliance with applicable SECAP requirements as set out in this Bid Document.

The successful bidder shall designate a focal person out of the project team to monitor and ensure compliance with applicable SECAP requirements.

The required main/ key contractor equipment, production capacity and year of manufacture are listed in the table below:

	<b>Equipment Description</b>	<b>Capacity</b>	<b>Earliest Year of Manufacture</b>
1	Bulldozer	200HP	2012
2	Motor Grader	140 HP	2012
3	Vibratory Roller	10 - 12 Ton	2012
4	Pneumatic Roller	18 - 21 Ton	2012
5	Dump Truck	18 Ton	2012
6	Excavator	105 HP	2012
7	Asphalt Paver	145 HP (3-6m wide)	2012
8	Asphalt Plant	120 Ton/hr	2012
9	Concrete Batching Plant	30 Cum	2012
10	Concrete Transit Mixer	6 Cum	2012

*[The bidder shall fill the required forms for key personnel and equipment included in Section V-Bidding Forms].*

*It will be done on Pass/fail basis. The bidders must meet all requirements mentioned in the BDS to pass the evaluation process.*

## **B. Financial Evaluation Criteria.**

**B1. Price-Related Criteria.** This evaluation is conducted to determine the evaluated bid price of each technically responsive bid in accordance with the process and criteria detailed below. Only price and price-related criteria shall be considered at this stage of the evaluation. The “evaluated bid price” shall be the bid price adjusted as follows:

- The evaluated bid price excludes provisional sums, but includes daywork items, where priced competitively;
- The evaluated bid price does not include the estimated effect of the price adjustment provisions of the GCC, applied over the period of execution of the contract;
- The evaluated bid price does not include the estimated effect of the price adjustment to rates due to extensions of the bid validity period in accordance with ITB 18;
- The evaluated bid price includes adjustment for correction of arithmetical errors, omissions, clarifications, etc., in accordance with ITB 29; and
- The evaluated bid price includes adjustment due to discounts offered in accordance with ITB 24.5.
- After all above, the employer will convert the evaluated bid price to a single currency in accordance with ITB 17.

The technical proposal of the bidder shall be evaluated on pass/fail (responsive/non-responsive basis). Accordingly, the bidder with the lowest evaluated cost based on above-mentioned price criteria shall be the one offering the best value for money and is to be recommended for award, subject to post-qualification.

### **C. Bidder’s Qualification (Postqualification)**

**C1 Qualification Review.** This process will be conducted to determine if the bidder who submitted the bid with the best value for money satisfies the qualification requirements as listed in ITB 15.1(e). The determination shall be based upon an examination of the documentary evidence of the bidder’s qualifications submitted by the bidder as requested in Section V, Bidding Forms, plus the bidder’s record of past performance and a review of references and any other source at the employer’s discretion. All qualification requirements shall be considered on a pass/fail basis. An affirmative determination of qualification shall be a prerequisite for award of the contract to a bidder.

**C2. References and Past Performance Review.** The bidder’s performance on earlier contracts will be considered in determining if the bidder is qualified for award of the contract. The employer reserves the right to check the performance references provided by the bidder or to use any other source at the employer’s discretion.

## **Section V. Bidding Forms**

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## Section V (A). Contractor's Letter of Bid

*The bidder shall fill in and submit this bid form with the bid.*

*[Bidders letterhead paper]*

\_\_\_\_\_ *[date]*

To :

Project Director, Project Management Unit (PMU),

Gwadar-Lasbela Livelihoods Support Project Phase – II (GLLSP-II),  
Planning and Development Department,  
Government of Balochistan  
Address -Room 37 Block 14 Civil secretariate Quetta

We, the undersigned, declare that:

1. We have examined and have no reservations to the bidding document, including addenda issued thereto in accordance with the Instructions to Bidders.
2. We offer to execute the \_\_\_\_\_ *[name and identification number of works contract]* in accordance with Section VIII Specifications, Section IX Drawings, Section X Bill of Quantities and the general and specific conditions of contract.
3. The total price of our bid, excluding any discounts offered in item 5 below is: *[insert the total bid price in words and figures, including the various amounts and respective currencies]*.
4. The advance payment required is: *[insert advance payment amount required not to exceed the provision in ITB sub-clause 36.1]*.
5. The discounts offered and the methodology for their application are:
  - a. Discounts: If our bid is accepted, the following discounts shall apply. *[Specify in detail each discount offered.]*
  - b. Methodology of application of the discounts: The discounts shall be applied using the following: *[Specify in detail the method that shall be used to apply the discount.]*

6. Our bid shall be valid from the date fixed for the bid submission deadline in accordance with ITB sub-clause 22.1, and it shall remain binding upon us and may be accepted at any time before the expiration of that period.
7. If our bid is accepted, we commit to obtain a performance security in accordance with GCC clause 37 and as described in ITB clause 35 for the due performance of the contract.
8. We, including any subcontractors or sub-suppliers for any part of the contract, have nationalities from eligible countries *[Insert the nationality of the bidder, including that of all parties that comprise the bidder, if the bidder is a joint venture, and the nationality of each subcontractor and supplier].*
9. We have no conflict of interest in accordance with ITB clause 7 *[Insert, if needed: “, other than listed below.”] [If listing one or more conflicts of interest, insert: “We propose the following mitigations for our conflicts of interest: [Insert description of conflict of interest, and proposed mitigations.]”]*
10. Our firm, its associates, including any subcontractors or suppliers for any part of the contract, has not been declared ineligible by the Fund or by the employer, or under the laws or official regulations of the employer’s country, in accordance with ITB clause 7 and has not been subject to administrative sanctions, criminal convictions or temporary suspensions beyond those declared in paragraph 11 of this letter of bid.
11. The following criminal convictions, administrative sanctions (including debarments) and/or temporary suspensions have been imposed on our firm and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension)	Imposed by	Name of party convicted, sanctioned or suspended (and relationship to consultant)	Grounds for the measure (i.e., fraud in procurement or corruption in contract execution)	Date and time (duration) of measure

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate “none”.

12. We acknowledge notice of the IFAD Revised Policy on Preventing Fraud and Corruption in its Activities and Operations. We have taken steps to ensure that no person acting for us or on our behalf has engaged in any prohibited practices described in ITB clause 3. As part of this, we certify that:

- a. The prices in this offer have been arrived at independently, without, for the purpose of restricting competition, any consultation, communication, or agreement with any other offeror or competitor relating to:
    - i. Those prices;
    - ii. The intention to submit an offer; or
    - iii. The methods or factors used to calculate the prices offered.
  - b. The prices in this offer have not been and will not be knowingly disclosed by us, directly or indirectly, to any other offeror or competitor before bid opening (in the case of a sealed bid solicitation) or contract award (in the case of a negotiated solicitation) unless otherwise required by law; and
  - c. No attempt has been made or will be made by us to induce any other concern to submit or not to submit an offer for the purpose of restricting competition.
13. We acknowledge notice of the IFAD Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. We certify that no person acting for us or on our behalf has engaged in any harassment, exploitation or abuse described in ITB clause 4.
14. The following commissions, gratuities, or fees have been paid or are to be paid with respect to the bid process or execution of the contract: *[Insert complete name of each recipient, its full address, the reason for which each commission or gratuity was paid and the amount and currency of each such commission or gratuity.]*

Name of Recipient	Address	Reason	Amount

*[If non has been paid or is it to be paid, indicate "none"]*

15. We understand that this bid, together with your written acceptance thereof included in your notification of award, shall constitute a binding contract between us, until a formal contract is prepared and executed.
16. We understand that you are not bound to accept the lowest evaluated bid or any other bid that you may receive. Authorised signatory:

Names and title of signatory:

Name of bidder:

Address:

Contact details (tel & email):



## Section V (B). Qualification Information (to be filled by the Bidder)

### *Notes on form of Qualification Information*

*The information to be filled in by bidders in the following pages will be used for purposes of post-qualification as provided for in the Instruction to Bidders. This information will not be incorporated in the contract.*

#### 1. Individual bidders or individual members of joint venture

##### 1.1. Constitution or legal status of bidder [attach copy]

Place of registration:

\_\_\_\_\_

Principal place of business:

\_\_\_\_\_

##### 1.2. Work performed as prime contractor on works of a similar nature and volume of the last two years. Also list details of work under way or committed, including expected completion date.

Project name and country	Name of client and contact person	Type of work performed and year of completion	Value of contract

1.3. Major items of contractor's equipment proposed for carrying out the works. The bidder must list the equipment below and must also fill the relevant forms of the technical proposal.

Item of Equipment	Description, make, and age (years)	Condition (new, good, poor) and number available	Owned, leased (from whom?), or to be purchased (from whom?)
<i>[etc.]</i>			

1.4. Qualifications and experience of key personnel proposed for administration and execution of the contract. The bidder must list the key staff below and must also fill the relevant forms of the technical proposal-CV forms.

Position	Name	Years of experience (general)	Years of experience in proposed position

1.5. Information on current litigation in which the bidder is involved. The bidder must list the names of contracts and details as in the table below.

Other party	Cause of dispute	Amount involved

1.6. Proposed program (work method and schedule) descriptions, drawings, and charts, as necessary, to comply with the requirements of the bidding documents must be attached to the bidder's technical proposal.

## Section V (C). Bid Security Format

Whereas, *[name of bidder]* (hereinafter called “the bidder”) has submitted his bid dated *[date]* for the construction of *[name of contract and procurement number]* (hereinafter called “the bid”).

Know all people by these presents that We *[name of bank]* of *[name of country]* having our registered office at *[address]* (hereinafter called “the bank”) are bound unto *[name of employer]* (hereinafter called “the employer”) in the sum of *[amount]*<sup>4</sup> for which payment well and truly to be made to the said employer, the bank binds itself, its successors, and assigns by these presents.

Sealed with the common seal of the said bank this *[day]* day of *[month]*, *[year]*. The conditions of this obligation are:

1. If, after bid opening, the bidder withdraws his bid during the period of bid validity specified in the form of bid; or
2. If the bidder having been notified of the acceptance of his bid by the employer during the period of bid validity:
  - a. Fails or refuses to execute the form of agreement in accordance with the Instructions to bidders, if required; or
  - b. Fails or refuses to furnish the performance security, in accordance with the instruction to bidders; or
  - c. Does not accept the correction of the bid price pursuant to clause 24,

We undertake to pay to the employer up to the above amount upon receipt of his first written demand, without the employer’s having to substantiate his demand, provided that in his demand the employer will note that the amount claimed by him is due to him owing to the occurrence of one or any of the three conditions, specifying the occurred condition or conditions.

This guarantee will remain in force up to and including *[date]* the date 28 days after the deadline for submission of bids as stated in the Instructions to bidders or as it may be extended by the employer, notice of which extension(s) to the bank is hereby waived. Any demand in respect of this guarantee should reach the bank not later than the above date.

This guarantee is subject to the Uniform Rules for Demand Guarantees, 2010 Revision, ICC Publication No. 758, except that the supporting statement requirement of Article 15(a) is hereby excluded and as may otherwise be stated above.

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<sup>4</sup>The bidder should insert the amount of the guarantee in words and figures This figure should be the same as shown in clause 14.1 of the Instructions to Bidders.

Date\_\_\_\_\_ Signature of the Bank\_\_\_\_\_

Witness\_\_\_\_\_ Seal\_\_\_\_\_

*[Signature, name, and address]*

## Section V (D). Bid Securing Declaration Form

*[The bidder shall fill in this form in accordance with the instructions indicated.]*

Date: *[date (as day, month and year)]*

Bid No.: *[number of RFB process]*

Alternative No.: *[insert identification No if this alternatives are permitted and this bid for an alternative]*

To: *[complete name of employer]*

We, the undersigned, declare that:

We understand that, according to your conditions, bids must be supported by a bid-securing declaration.

We accept that we will automatically be suspended from being eligible for bidding or submitting proposals in any contract with the employer for the period of time of *[number of months or years]* starting on *[date]*, if we are in breach of our obligation(s) under the bid conditions, because we:

- a. Have withdrawn our bid during the period of bid validity specified in the letter of bid; or
- b. Having been notified of the acceptance of our bid by the employer during the period of bid validity, (i) fail or refuse to sign the contract; or (ii) fail or refuse to furnish the performance security, if required, in accordance with the ITB.

We understand this bid securing declaration shall expire if we are not the successful bidder, upon the earlier of (i) our receipt of your notification to us of the name of the successful bidder; or (ii) twenty-eight days after the expiration of our bid.

Name of the bidder\* \_\_\_\_\_

Name of the person duly authorized to sign the bid on behalf of the bidder\*\* \_\_\_\_\_

Title of the person signing the bid \_\_\_\_\_

Signature of the person named above \_\_\_\_\_

Date signed \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_

\*: In the case of the bid submitted by joint venture specify the name of the joint venture as bidder

\*\* : Person signing the bid shall have the power of attorney given by the bidder attached to the bid

*[Note: In case of a joint venture, the bid-securing declaration must be in the name of all members to the joint venture that submits the bid.]*

## **Section V (E). Technical Proposal Documents to be Submitted by the Bidder**

- Key Personnel Schedule (Resume and Declaration Key Personnel) as required by the Employer in Section IV-Qualification and Evaluation Criteria.
- Contractor's Equipment as required by the Employer in Section IV-Qualification and Evaluation Criteria.
- Site Organization
- Methodology Statement including ES Management Strategies and Implementation Plans
- Mobilization Schedule
- Construction Schedule
- Code of Conduct of Contractor's Personnel

## Key Personnel Schedule

Bidders should provide the names and details of the suitably qualified key personnel to perform the contract. The data on their experience should be supplied using the form PER-2 below for each candidate.

### Key Personnel

<b>1.</b>	<b>Title of position: Project Manager</b>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>
<b>2.</b>	<b>Title of position: Field Supervisor</b>	
	Name of candidate:	
	Duration of appointment:	<i>[insert the whole period (start and end dates) for which this position will be engaged]</i>
	Time commitment for this position:	<i>[insert the number of days/week/months/ that has been scheduled for this position]</i>
	Expected time schedule for this position:	<i>[insert the expected time schedule for this position (e.g. attach high level Gantt chart)]</i>

## Resume and Declaration Key Personnel

<b>Name of bidder</b>
-----------------------

Position [#1]: <i>[title of position from Form PER-1]</i>		
Personnel information	Name:	Date of birth:
	Address:	E-mail:
	Professional qualifications	
	Academic qualifications	
	Language proficiency: <i>[language and levels of speaking, reading, and writing skills]</i>	
Details		
	Address of employer:	
	Telephone	Contact (manager / personnel officer):
	Fax:	
	Job title:	Years with present employers:

Summarize professional experience in reverse chronological order. Indicate particular technical and managerial experience relevant to the project.

Project	Role	Duration of involvement	Relevant experience
<i>[main project details]</i>	<i>[role and responsibilities on the project]</i>	<i>[time in role]</i>	<i>[describe the experience relevant to this position]</i>



## Declaration

I, the undersigned key personnel, certify that to the best of my knowledge and belief, the information contained in this form PER-2 correctly describes myself, my qualifications and my experience.

I confirm that I am available as certified in the following table and throughout the expected time schedule for this position as provided in the bid:

Commitment	Details
Commitment to duration of contract:	<i>[insert period (start and end dates) for which this Key Personnel is available to work on this contract]</i>
Time commitment:	<i>[insert the number of days/week/months/ that this Key Personnel will be engaged]</i>

I understand that any misrepresentation or omission in this form may:

- Be taken into consideration during bid evaluation;
- My disqualification from participating in the bid;
- My dismissal from the contract.

Name of key personnel: *[insert name]*

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

Countersignature of authorized representative of the bidder:

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

## Contractor's Equipment

The bidder shall provide adequate information to demonstrate clearly that it has the capability to meet the requirements for the key equipment listed in Section III Evaluation and Qualification Criteria. A separate form shall be prepared for each item of equipment listed, or for alternative equipment proposed by the bidder. The bidder shall provide all the information requested below, to the extent possible. Fields with asterisk (\*) shall be used for evaluation.

Type of Equipment*		
Equipment Information	Name of manufacturer,	Model and power rating
	Capacity*	Year of manufacture*
Current Status	Current location	
	Details of current commitments	
Source	Indicate source of the equipment <input type="checkbox"/> Owned <input type="checkbox"/> Rented <input type="checkbox"/> Leased <input type="checkbox"/> Specially manufactured	

The following information shall be provided only for equipment not owned by the bidder.

Owner	Name of owner	
	Address of owner	
	Telephone	Contact name and title
	Fax	Telex
Agreements	Details of rental / lease / manufacture agreements specific to the project	

## **Site Organization**

*[insert Site Organization information]*

## **Methodology Statement**

*[insert Method Statement]*

In developing its Method Statement, the bidder shall have regard to the environmental and social (ES) provisions of the contract including those as may be more fully described in the specifications (works requirements Approach and methodology) in Section X. The technical proposal must all present the bidder's health and safety plans during execution of the works.

## **Mobilization Schedule**

*[insert Mobilization Schedule]*

## **Construction Schedule**

*[insert Construction Schedule]*

## Code of Conduct for Contractor's Personnel Form

### *Note to the Employer:*

*The following minimum requirements shall not be modified. The employer may add additional requirements to address identified issues, informed by relevant environmental and social assessment.*

*The types of issues identified could include risks associated with: labor influx, spread of communicable diseases, and Sexual Exploitation and Abuse (SEA), Sexual Harassment (SH) etc.*

*Delete this box prior to issuance of the bidding documents.*

### *Note to the bidder:*

*The minimum content of the code of conduct form as set out by the employer shall not be substantially modified. However, the bidder may add requirements as appropriate, including to take into account contract-specific issues/risks.*

*The bidder shall initial and submit the code of conduct form as part of its bid.*

## Code of Conduct for Contractor's Personnel

We are the contractor, [enter name of contractor]. We have signed a contract with [enter name of employer] for [enter description of the works]. These works will be carried out at [enter the Site and other locations where the works will be carried out]. Our contract requires us to implement measures to address environmental and social risks related to the works, including the risks of sexual exploitation, sexual abuse and sexual harassment.

This code of conduct is part of our measures to deal with environmental and social risks related to the works. It applies to all our staff, laborers and other employees at the works site or other places where the works are being carried out. It also applies to the personnel of each subcontractor and any other personnel assisting us in the execution of the works. All such persons are referred to as "contractor's personnel" and are subject to this code of conduct.

This code of conduct identifies the behavior that we require from all contractor's personnel.

Our workplace is an environment where unsafe, offensive, abusive or violent behavior will not be tolerated and where all persons should feel comfortable raising issues or concerns without fear of retaliation.

### **Required Conduct**

Contractor's Personnel shall:

1. Carry out his/her duties competently and diligently;
2. Comply with this code of conduct and all applicable laws, regulations and other requirements, including requirements to protect the health, safety and well-being of other contractor's personnel and any other person;
3. Maintain a safe working environment including by:
  - a. Ensuring that workplaces, machinery, equipment and processes under each person's control are safe and without risk to health;

- b. Wearing required personal protective equipment;
  - c. Using appropriate measures relating to chemical, physical and biological substances and agents; and
  - d. Following applicable emergency operating procedures.
4. Report work situations that he/she believes are not safe or healthy and remove himself/herself from a work situation which he/she reasonably believes presents an imminent and serious danger to his/her life or health;
  5. Treat other people with respect, and not discriminate against specific groups such as women, people with disabilities, migrant workers or children;
  6. Not engage in sexual harassment, which means unwelcome sexual advances, requests for sexual favors, and other verbal or physical conduct of a sexual nature with other contractor's or employer's personnel;
  7. Not engage in sexual exploitation, which means any actual or attempted abuse of position of vulnerability, differential power or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of another;
  8. Not engage in sexual abuse, which means the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions;
  9. Not engage in any form of sexual activity with individuals under the age of 18, except in case of pre-existing marriage;
  10. Complete relevant training courses that will be provided related to the environmental and social aspects of the contract, including on health and safety matters, and Sexual Exploitation and Abuse (SEA), and Sexual Harassment (SH);
  11. Report violations of this code of conduct; and
  12. Not retaliate against any person who reports violations of this code of conduct, whether to us or the employer, or who makes use of the grievance mechanism for contractor's personnel or the project's Grievance Redress Mechanism.

## **Raising Concerns**

If any person observes behavior that he/she believes may represent a violation of this code of conduct, or that otherwise concerns him/her, he/she should raise the issue promptly. This can be done in either of the following ways:

1. Contact *[enter name of the contractor's social expert with relevant experience in handling gender-based violence, or if such person is not required under the contract, another individual designated by the contractor to handle these matters]* in writing at this address [ ] or by telephone at [ ] or in person at [ ]; or
2. Call [ ] to reach the contractor's hotline (if any) and leave a message.

The person's identity will be kept confidential, unless reporting of allegations is mandated by the country law. Anonymous complaints or allegations may also be submitted and will be given all due and appropriate consideration. We take seriously all reports of possible



misconduct and will investigate and take appropriate action. We will provide warm referrals to service providers that may help support the person who experienced the alleged incident, as appropriate.

There will be no retaliation against any person who raises a concern in good faith about any behavior prohibited by this code of conduct. Such retaliation would be a violation of this code of conduct.

### **Consequences of violating the code of conduct**

Any violation of this code of conduct by contractor's personnel may result in serious consequences, up to and including termination and possible referral to legal authorities.

### **For contractor's personnel:**

I have received a copy of this code of conduct written in a language that I comprehend. I understand that if I have any questions about this code of conduct, I can contact *[enter name of contractor's contact person with relevant experience]* requesting an explanation.

Name of contractor's personnel: *[insert name]*

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

Countersignature of authorized representative of the contractor:

Signature: \_\_\_\_\_

Date: (day month year): \_\_\_\_\_

Attachment 1: Behaviors constituting Sexual Exploitation and Abuse (SEA) and behaviors constituting Sexual Harassment (SH)

## **Attachment 1 to the Code of Conduct**

### **Behaviors Constituting Sexual Exploitation and Abuse (SEA) and behaviors Constituting Sexual Harassment (SH)**

The following non-exhaustive list is intended to illustrate types of prohibited behaviors:

1. Examples of sexual exploitation and abuse include, but are not limited to:
  - A contractor's personnel tells a member of the community that he/she can get them jobs related to the work site (e.g. cooking and cleaning) in exchange for sex.
  - A contractor's personnel that is connecting electricity input to households says that he can connect women headed households to the grid in exchange for sex.
  - A contractor's personnel rapes, or otherwise sexually assaults a member of the community.
  - A contractor's personnel denies a person access to the site unless he/she performs a sexual favor.
  - A contractor's personnel tells a person applying for employment under the contract that he/she will only hire him/her if he/she has sex with him/her.
2. Examples of sexual harassment in a work context
  - Contractor's personnel comment on the appearance of another contractor's personnel (either positive or negative) and sexual desirability.
  - When a contractor's personnel complains about comments made by another contractor's personnel on his/her appearance, the other contractor's personnel comment that he/she is "asking for it" because of how he/she dresses.
  - Unwelcome touching of a contractor's or employer's personnel by another contractor's personnel.
  - A contractor's personnel tells another contractor's personnel that he/she will get him/her a salary raise, or promotion if he/she sends him/her naked photographs of himself/herself.

## **Section VI. General Conditions of Contract**

## Section VI. General Conditions of Contract

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## General Conditions of Contract

### 1. Definitions

1.1 Boldface type is used to identify defined terms.

**Activity schedule** means the priced and completed activity schedule forming part of the bid for a lump sum contract.

**Bill of quantities** means the priced and completed bill of quantities forming part of the bid for a unit rate contract.

**Compensation events** are those defined in clause 24 hereunder.

**The completion date** is the date of completion of the works as certified by the project manager, in accordance with sub-clause 31.

**The contract** is the contract between the employer and the contractor to execute, complete, and maintain the works. The name and identification number of the contract is given in the SCC.

**The contractor** is the person or corporate body whose bid to carry out the works has been accepted by the employer.

The **contractor's bid** is the letter of bid and priced bill of quantities or priced activity schedule submitted by the contractor to the employer.

The **contract price** is the price stated in the letter of acceptance and thereafter as adjusted in accordance with the provisions of the contract.

**Dayworks** are additional, varied work inputs subject to payment on a time basis for the contractor's employees and equipment, in addition to payments for associated materials and plant.

**Days** are calendar days; months are calendar months.

A **defect** is any part of the works not completed in accordance with the contract.

The **defects liability period** is the period named in the SCC and calculated from the completion date.

**Drawings** include calculations and other information provided or approved by the employer for the execution of the contract.

The **employer**, as specified in the SCC, is the party who employs the contractor to carry out the works. The name of the employer's representative authorized to deal with the contractor is also given in the SCC.

The **employer's country** is the country in which the employer entity legally resides and operates from, as named in the SCC.

**Equipment** is the contractor's machinery and vehicles brought temporarily to the site to construct the works.

**The Fund or IFAD** means the International Fund for Agricultural Development.

**GCC** means the general conditions of contract contained in this section.

The **initial contract price** is the contract price listed in the employer's letter of acceptance.

**Materials** are all supplies, plant (electro-mechanical machinery) and materials including consumables, used by the contractor for incorporation in the works.

The **project manager** is the person named in the SCC (or any other competent person appointed by the employer and notified to the contractor, to act on behalf of the employer) who is responsible for supervising the execution of the works and administering the contract

The **required completion date** is the date on which it is required that the contractor shall complete the works. The required completion date is specified in the SCC. The completion date may be revised only by the employer by issuing an extension of time or an acceleration order.

**SCC** means the special conditions of contract.

The **site** is the area defined as such in the SCC.

**Specification** means the specification of the works included in the contract and any modification or addition made or approved by the employer.

The **start date** is given in the SCC. It is the latest date when the contractor shall commence execution of the works. It does not necessarily coincide with any of the site possession dates.

A **subcontractor** is a person or corporate body who has a contract with the contractor to carry out a part of the work in the contract, which includes work on the site.

**Temporary works** are works designed, constructed, installed and removed by the contractor that are needed for construction or installation of the works.

A **variation** is an instruction given by the project manager with the approval of the employer which varies the original work requirement or specifications.

The **works** are what the contract requires the contractor to construct, install, and turn over to the employer, as defined in the SCC.

**SECAP** means IFAD's Environmental, Social and Climate Assessment Procedures.

1.2 This shall be a "unit price contract based on priced bill of quantities" or a "lump sum contract" based on priced activity schedule", as specified in the SCC.

## **2. Language and Law**

2.1 The language of the contract and the law governing the contract are as provided in the SCC.

## **3. Communication**

3.1 Communications between parties that are referred to in these Conditions shall be effective only when in writing. A notice shall be effective only when it is delivered.

## **4. Prohibited Practices**

4.1 The Fund requires that all beneficiaries of IFAD funding, including the employer and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel observe the highest standards of ethics during the procurement and execution of such contracts, and comply with IFAD's Policy on Preventing Fraud and Corruption in its Activities and Operations, revised on 12 December 2018 (EB 2018/125/R.6, hereinafter "IFAD's Anti-Corruption Policy").

4.2 For the purposes of these provisions, and consistent with IFAD's Anticorruption Policy, the terms set forth below are defined as follows, and sometimes referred to collectively as "prohibited practices":



- (a) "Corrupt practice" is the offering, giving, receiving, or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
- (b) "Fraudulent practice" is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;
- (c) "Collusive practice" is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;
- (d) "Coercive practice" is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of any party, to improperly influence the actions of that or another party;
- (e) "Obstructive practice" is (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund's contractual rights of audit, inspection and access to information.

4.3 The Fund will deny approval of a proposed contract award if it determines that the firm or individual recommended for award, or any of its personnel or agents, or its sub-consultants, sub-contractors, service providers, suppliers, sub-suppliers and/or any of their personnel or agents, has, directly or indirectly, engaged in any of the prohibited practices in connection with an IFAD-financed and/or IFAD-managed activity or operation, including in competing for the contract.

4.4 In accordance with IFAD's Anticorruption Policy, the Fund has the right to sanction firms and individuals, including by declaring them ineligible, either indefinitely or for a stated period of time, to participate in any IFAD-financed and/or IFAD-managed activity or operation. This may include ineligibility to: (i) be awarded or otherwise benefit from any IFAD-financed

contract, financially or in any other manner; (ii) be a nominated sub-contractor, consultant, manufacturer, supplier, sub-supplier, agent or service provider of an otherwise eligible firm being awarded an IFAD-financed contract; and (iii) receive the proceeds of any loan or grant provided by the Fund.<sup>5</sup> The Fund also has the right to unilaterally recognize debarments by any of the International Financial Institutions that are members to the Agreement for Mutual Enforcement of Debarment Decisions if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.

4.5 In addition, the Fund has the right to, at any time, declare a misprocurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that prohibited practices occurred in connection with this procurement process or contract and that the borrower/recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.

4.6 Bidders, suppliers, consultants, contractors, and their sub-contractors, sub-consultants, service providers, suppliers, agents and personnel, are required to fully cooperate with any investigation conducted by the Fund into possible prohibited practices, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, records and documents audited and/or inspected by auditors and/or investigators appointed by the Fund.

4.7 The bidder is obliged to disclose relevant prior sanctions and criminal convictions and any commissions or fees paid or are to be paid to any agents or other party in connection with this procurement process or the execution of the contract.

4.8 The bidder shall keep all records and documents, including electronic records, relating to this procurement process available for a minimum of three (3) years after notification of

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<sup>5</sup> For the avoidance of doubt, a sanctioned party's ineligibility to be awarded a contract shall include, without limitation, (i) applying for pre-qualification, expressing interest in a consultancy, and bidding, either directly or as a nominated sub-contractor, nominated consultant, nominated manufacturer or supplier, or nominated service provider, in respect of such contract, and (ii) entering into an addendum or amendment introducing a material modification to any existing contract.

completion of the process or, in case the bidder is awarded the contract, execution of the contract.

## **5. Prohibition of Sexual Harassment, Sexual Exploitation and Abuse**

5.1 The Fund requires that all beneficiaries of IFAD Funding, including the employer and any bidders, implementing partners, service providers, suppliers, sub-suppliers, contractors, sub-contractors, consultants, sub-consultants, and any of their agents (whether declared or not) and personnel comply with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse. For the purpose of this provision, and consistent with IFAD's Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse as it may be amended from time to time, the terms set forth below are defined as follows:

- (a) Sexual harassment means “any unwelcome sexual advance, request for sexual favour or other verbal, non-verbal or physical conduct of a sexual nature that unreasonably interferes with work, alters or is made a condition of employment, or creates an intimidating, hostile or offensive work environment.
- (b) Sexual exploitation and abuse means “any actual or attempted abuse of a position of vulnerability, differential power, or trust, for sexual purposes, including, but not limited to, profiting monetarily, socially or politically from the sexual exploitation of others (sexual exploitation); the actual or threatened physical intrusion of a sexual nature, whether by force or under unequal or coercive conditions (sexual abuse)”.

5.2 Employers, suppliers and bidders shall take all appropriate measures to prevent and prohibit sexual harassment and sexual exploitation and abuse on the part of their personnel and subcontractors or anyone else directly or indirectly employed by them or any of subcontractors in the performance of the contract. Contractors, suppliers and bidders shall immediately report to the employer or IFAD any incidents of sexual harassment and sexual exploitation and abuse arising out of or in connection with the performance of the contract or prior to its execution, including convictions, disciplinary measures, sanctions or investigations. The employer may take appropriate measures, including the termination of the contract, on the basis of proven acts of sexual harassment, sexual exploitation and abuse arising out of or in connection with the performance of the contract.

5.3 The bidder or subcontractor or supplier is required to disclose any relevant prior sanctions, convictions, disciplinary measures or criminal records.

## **6. SECAP**

6.1 This contract shall be implemented in a manner consistent with IFAD's Social, Environmental and Climate Assessment Procedures (SECAP), available on <https://www.ifad.org/en/secap>.

## **7. Sub-contracting and other contractors**

7.1 The contractor may subcontract with the approval of the project manager, but may not assign the contract without approval of the employer in writing. Subcontracting shall not alter the contractor's obligations. Not more than the percentage of the works value indicated in the SCC may be sub-contracted to registered contractors. The contractor shall cooperate and share the site with other contractors, public authorities, utilities, and the employer.

## **8. Personnel and Labour Requirements**

8.1 The contractor shall employ the key personnel named in the schedule of key personnel, referred to in the SCC, to carry out the functions stated in the schedule. Replacement of such personnel must be approved by the project manager. The project manager will approve any proposed replacement of key personnel only if their relevant qualifications and abilities are substantially equal to or better than those of the personnel listed in the schedule.

8.2 If the project manager asks the contractor to remove a person who is a member of the contractor's staff or work force, stating valid reasons including breach of the code of conduct, the contractor shall ensure that the person leaves the site within 72 hours and has no further connection with the work in the contract.

8.3 The contractor shall provide and employ on the site for the execution of the works such skilled, semi-skilled and unskilled labor as is necessary for the proper and timely execution of the contract. The contractor shall provide the contractor's personnel information and documentation that are clear and understandable regarding their terms and conditions of employment. The information and documentation shall set out their rights under relevant labor laws applicable to the contractor's personnel (which will include all ILO core labour standards: prohibition of child labour and worst forms of child labour, forced labour, non-discrimination, freedom of association and collective bargaining), including their rights related to hours of work, wages, overtime, compensation and benefits, as well as

those arising from any requirements in the specifications. The contractor's personnel shall be informed when any material changes to their terms or conditions of employment occur.

## **9. Contractor's and Employer's Risks**

9.1 From the starting date until the defects liability certificate has been issued, the risks of personal injury, death, and loss of or damage to property (including, without limitation, the works, materials, and equipment) which are not employer's risks are contractor's risks.

9.2 From the start date until the defects liability certificate has been issued, the following are employer's risks:

- (a) The risk of personal injury, death, or loss of or damage to property (excluding the works, plant, materials, and equipment), which are due to
  - (i) Use or occupation of the site by the works or for the purpose of the works, which is the unavoidable result of the works or
  - (ii) Negligence, breach of statutory duty, or interference with any legal right by the employer or by any person employed by or contracted to him except the contractor.
- (b) The risk of damage to the works, plant, materials, and equipment to the extent that it is due to a fault of the employer or in the employer's design, or due to war or radioactive contamination directly affecting the country where the works are to be executed.

9.3 From the completion date until the defects liability certificate has been issued, the risk of loss of or damage to the works, plant, and materials is an employer's risk except loss or damage due to

- (a) A defect which existed on the completion date,
- (b) An event occurring before the completion date, which was not itself an employer's risk, or
- (c) The activities of the contractor on the site after the completion date.

## **10. Insurance**

10.1 The contractor shall provide, in the joint names of the employer and the contractor, insurance cover from the start date to the end of the defects liability period, in the amounts stated in

the SCC, for the following events which are due to the contractor's risks:

- (a) Loss of or damage to the works, equipment and materials;
- (b) Loss of or damage to property (except the works, materials and equipment) in connection with the contract; and
- (c) Personal injury or death and third-party liability.

10.2 Policies and certificates for insurance shall be delivered by the contractor to the project manager for approval within 15 days of receipt by the contractor of the employer's letter of acceptance. All such insurance shall provide for compensation required to rectify the loss or damage incurred. If the contractor fails to provide the required certificates, the contract shall be considered as annulled. However, the employer at its discretion may decide to extend the period for submission of insurance certificates or take out the insurance and deduct the cost of premiums from the contractor's earnings.

10.3 Alterations to the terms of an insurance shall not be made without the approval of the employer.

**11. Contractor to construct the works**

11.1 The contractor shall construct and install the works in accordance with the specifications and drawings.

**12. The works to be completed by the completion date**

12.1 The contractor shall be given access to the site by the site possession date indicated in the SCC. The contractor shall commence execution of the works by the start date indicated in the SCC and shall carry out the works in accordance with the program submitted by the contractor, as updated with the approval of the project manager, and complete them by the required completion date.

**13. Safety**

13.1 The contractor shall be responsible for the safety of all activities on the site and shall appoint a health and safety officer if so prescribed in the schedule of the contractor's key personnel.

13.2 The contractor shall report immediately to the project manager any health and safety incidents, accidents, injuries that require treatment and all fatalities.

<b>14. Program</b>	14.1 Within the time stated in the SCC, the contractor shall submit to the project manager for its no-objection a program showing the general methods, arrangements, order, and timing for all the activities for construction of the works. The contractor shall update the program at intervals no longer than the period stated in the SCC. The project manager's non-objection to the program shall not alter the contractor's obligations. The contractor may revise the program and submit it to the project manager again at any time. A revised program shall show the effect of variations and compensation events.
<b>15. Extension of the completion date</b>	15.1 The project manager shall extend the completion date if a compensation event occurs or a variation is issued which makes it impossible for completion to be achieved by the required date without the contractor taking steps to accelerate the remaining work, which would cause the contractor to incur additional cost.
<b>16. Delays ordered by the project manager</b>	16.1 The project manager may instruct the contractor to delay the start or progress of any activity within the works. Delays or suspension of work by the project manager which increase the contractor's costs shall be subject to equitable adjustments by the employer.
<b>17. Early warning</b>	<p>17.1 The contractor shall inform the project manager at the earliest opportunity of specific likely future events or circumstances that may adversely affect the quality of the work, increase the contract price or delay the execution of the works. The project manager may require the contractor to provide an estimate of the expected effect of the future event or circumstance on the contract price and completion date. The estimate shall be provided by the contractor as soon as reasonably possible.</p> <p>17.2 The contractor shall cooperate with the project manager in making and considering proposals for how the effect of such an event or circumstance can be avoided or reduced by anyone involved in the works and in carrying out any resulting instruction of the project manager.</p>
<b>18. Correction of defects</b>	18.1 The project manager shall give notice to the contractor of any defects before the end of the defects liability period, which begins at completion, and is defined in the SCC. The defects liability period shall be extended for as long as defects remain to be corrected.

18.2 Every time notice of a defect is given, the contractor shall correct the notified defect within the length of time specified by the project manager in the aforementioned notice.

**19. Uncorrected defects**

19.1 If the contractor has not corrected a defect within the time specified in the project manager's notice, the project manager will assess the cost of having the defect corrected, and the contractor shall pay this amount, or the employer shall recuperate these amounts by deduction from the amounts due to the contractor.

**20. Bill of quantities**

20.1 The bill of quantities (in the case of a lump-sum contract this entire clause 20 shall be replaced with a new clause as indicated in the SCC) shall contain items for the construction, installation, testing, and commissioning of the works to be done by the contractor.

20.2 The bill of quantities is used to calculate the contract price. The contractor is paid for the quantity of the work actually done at the rate of the bill of quantities for each item.

**21. Changes in the quantities**

21.1 If the final quantity of the work done differs from the quantity in the bill of quantities for the particular item by more than 25 percent, provided the change exceeds 1 percent of the initial contract price, the project manager shall adjust the unit rate upwards or downwards for this item commensurate with the impact of the change on the contractor's cost. (For lump-sum contracts, this clause shall be substituted by a new clause as indicated in SCC).

**22. Payment certificates**

22.1 The contractor shall submit to the project manager monthly statements of the estimated value of the work executed less the cumulative amount certified previously.

22.2 The project manager shall check the contractor's executed work and certify the amount to be paid to the contractor.

22.3 The value of work executed shall be determined by the project manager.

22.4 The value of work executed shall comprise the value of the quantities of the items in the bill of quantities completed. (For lump sum contracts, this clause shall be substituted by a new clause as indicated in the SCC).

22.5 The value of work executed shall include the valuation of variations and compensation events.



## **23.Payments**

23.1 Payments shall be adjusted for deductions of the advance payments and retention. The employer shall pay the contractor the amounts certified by the project manager within 45 days of the date of each certificate submitted by the contractor. If the employer makes a late payment, the contractor shall be paid interest on the late payment in the next payment. Interest shall be calculated from the date by which the payment should have been made, up to the date when the late payment is made, at the rate of interest prevailing at the local banks for construction loans.

23.2 Items of the works for which no rate or price has been entered in the bill of quantities shall not be paid for by the employer and shall be deemed covered by other rates and prices in the contract.

## **24.Compensation events**

24.1 The following shall be compensation events:

- (a) The employer does not give access to a part of the site by the site possession date stated in the SCC.
- (b) The project manager orders a delay or does not issue drawings, specifications, or instructions required for execution of works on time.
- (c) The project manager instructs the contractor to uncover or to carry out tests upon completed work, which is then found to have no defects.
- (d) Other contractors, public authorities, utilities, or the employer cause delay or extra cost to the contractor.
- (e) The advance payment is delayed.
- (f) The project manager unreasonably delays issuing a certificate of completion.
- (g) Any other events as stipulated in the SCC.

If such an event occurs, then the contract price shall be equitably adjusted.

## **25.Tax**

25.1 The contractor is liable for all taxes in accordance with the laws of the employer's country. However, the project manager shall adjust the contract price if taxes, duties, and other levies are changed between the date 28 days before the submission of bids for the contract and the date of the last completion

certificate. The adjustment shall be the change in the amount of tax payable by the contractor, provided such charges are already not reflected in the contract price.

## **26.Liquidated damages**

26.1 The contractor shall pay liquidated damages to the employer at the rate per day stated in the SCC for each day that the completion date is later than the required completion date. The total amount of liquidated damages shall not exceed the ceiling amount defined in the SCC. The employer may deduct liquidated damages from any payments due to the contractor. Payment of liquidated damages shall not affect the contractor's liabilities.

## **27.Advance payments**

27.1 The employer shall make advance payment to the Contractor in the amounts stated in the SCC after the contractor has:

- (i) Delivered to the site construction equipment and/or materials for initiating the works, and
- (ii) Submitted the advance payment guarantee.

27.2 The contractor is to use the advance payment only to pay for equipment, materials and other expenses required specifically for carrying out the works. The contractor shall demonstrate that the advance payment has been used in this way by supply of copies of invoices or other documents to the project manager.

27.3 The advance payment shall be repaid by deducting proportionate amounts from payments otherwise due to the contractor. Full recovery of the advance payment shall be made by the time 75% of the value of the works have been certified for payment by the project manager.

## **28.Retention money**

28.1 An amount, specified in the SCC, will be retained from each payment to the contractor as a safeguard for ensuring satisfactory performance of the work. This money will be paid out to the contractor upon fulfilment of the contractor's contractual obligations i.e. within 15 days of the issue by the project manager of the defects liability certificate. Subject to the approval by the employer, the retention money can be released to the contractor at the time of issue of the certificate of completion of the works against submission by the contractor of an unconditional bank guarantee covering the full amount of the retention money.

<b>29.Dayworks</b>	<p>29.1 If applicable, the dayworks rates in the contractor's bid shall be used for small additional amounts of work only when the project manager has given written instructions in advance for additional work to be paid for in that way.</p> <p>29.2 All work to be paid for as dayworks shall be recorded by the contractor on forms approved by the project manager. Each completed form shall be verified and signed by the project manager within two days of the work being done.</p> <p>29.3 The contractor shall be paid for dayworks as work is performed subject to obtaining signed dayworks forms.</p>
<b>30.Cost of repairs</b>	<p>30.1 Loss or damage to the works or materials to be incorporated in the works between the start date and the end of the defects liability periods shall be remedied by the contractor at the contractor's cost if the loss or damage arises from the contractor's risks, acts or omissions.</p>
<b>31.Completion and taking over</b>	<p>31.1 The contractor shall request the project manager to issue a certificate of completion of the works, and the project manager will issue such a certificate when it determines that the works are satisfactorily completed. The employer shall take over the site and the works within seven days of the project manager's issuing of a certificate of completion.</p>
<b>32.Final account</b>	<p>32.1 The contractor shall supply the project manager with a detailed account of the total amount that the contractor considers payable under the contract before the end of the defects liability period. The project manager shall issue a defects liability certificate and certify any final payment that is due to the contractor within 45 days of receiving the contractor's account if it is correct and complete. If it is not, the project manager shall issue within 30 days a schedule that states the scope of the corrections or additions that are necessary. If the final account is still unsatisfactory after it has been resubmitted, the project manager shall decide on the amount payable to the contractor and issue a payment certificate.</p>
<b>33.Termination action</b>	<p>33.1 The employer or the contractor may terminate the contract if the other party causes a fundamental breach of the contract.</p> <p>33.2 Fundamental breaches of contract shall include, but shall not be limited to, the following:</p> <p style="padding-left: 40px;">(a) The contractor stops work for 21 days when no stoppage of work is shown on the current Program and the</p>

stoppage has not been authorized by the project manager;

- (b) The project manager instructs the contractor to delay the progress of the works, and the instruction is not withdrawn within 30 days and no agreement is reached on payments due contractor for cost of delay;
- (c) The employer or the contractor is made bankrupt or goes into liquidation other than for a reconstruction or amalgamation;
- (d) A payment certified by the project manager is not paid by the employer to the contractor within 60 days of the date of the project manager's certificate;
- (e) The project manager gives notice that failure to correct a particular defect is a fundamental breach of contract and the contractor fails to correct it within 30 days from the date of such notice issued by the project manager;
- (f) The contractor has delayed the completion of the works by the number of days for which the maximum amount of liquidated damages can be paid, as defined in the SCC.
- (g) The employer determines that the contractor, or any of its sub-contractors, sub-consultants, suppliers, personnel or any agent or affiliate of any of them has, directly or indirectly, engaged in prohibited practices pursuant to GCC 4.

33.3 When either party to the contract gives notice of a breach of contract to the project manager for a cause other those listed under sub-clause 33.2 above, the project manager shall decide whether the breach is fundamental or not.

33.4 Notwithstanding the above, the employer may terminate the contract for convenience by giving the contractor a thirty-day notice in writing.

33.5 If the contract is terminated, the contractor shall stop work immediately, make the site safe and secure, and leave the site within 15 days of the completion of the notice period.

#### **34.Payment upon termination**

34.1 If the contract is terminated because of a fundamental breach of contract by the contractor, the project manager shall issue a certificate for the value of the work done and materials ordered less advance payments received up to the date of the

issue of the certificate and less the percentage to apply to the value of the work not completed, as indicated in the SCC. Additional liquidated damages shall not apply. If the total amount due to the employer exceeds any payment due to the contractor, the difference shall be a debt payable to the employer.

34.2 If the contract is terminated for the employer's convenience or because of a fundamental breach of contract by the employer, the project manager shall issue a certificate for the value of the work done, materials ordered, the reasonable cost of removal of equipment, repatriation of the contractor's personnel employed solely on the works, and the contractor's costs of protecting and securing the works, and less advance payments received up to the date of the certificate.

### **35.Property**

35.1 All materials and construction equipment on the site, plant, temporary works, and works shall be deemed to be the property of the employer if the contract is terminated because of the contractor's default.

### **36.Release from performance**

36.1 If the contract is frustrated by the outbreak of war or by any other event entirely outside the control of either the employer or the contractor, the project manager shall certify that the contract has been frustrated. The contractor shall make the site safe and stop work as quickly as possible after receiving this certificate and shall be paid for all work carried out before receiving it and for any work carried out afterwards for which an agreement has been reached.

### **37.Resolution of disputes**

37.1 The employer and the contractor shall make every effort to resolve amicably by direct informal negotiation any disagreement or dispute arising between them under or in connection with the contract. If after thirty (30) days from the commencement of such informal negotiations, the employer and the contractor have been unable to resolve amicably a contract dispute, either party may require that the dispute be referred to arbitration in accordance with the law governing the contract. The place where arbitration will take place will be stated in the SCC.

### **38.Performance security**

38.1 The performance security shall be provided to the employer no later than the date specified in the letter of acceptance and shall be issued in the amount specified in the SCC, in a form and by a bank acceptable to the employer, and denominated in the types and proportions of the currencies in which the contract price is payable. The performance security

shall be valid until a date 28 days from the date of issue of the defects liability certificate.

## **Section VII. Specific Conditions of Contract (SCC)**

## Special Conditions of Contract

GCC Clause Number	SCC
1.1	<p>“Contract: The name and procurement number of the contract are Construction Of B/T from RCD to Abdullah Burrah - Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)</p> <p>“Defects liability period: the defects liability period is <u>6 Months or 180 Days</u></p> <p>“Employer: the employer is  <b>Project Director, Project Management Unit, (PMU), Gwadar-Lasbela Livelihoods Support Project Phase – II (GLLSP-II), Planning and Development Department Government of Balochistan</b></p> <p>The employer’s country is Pakistan</p> <p>“Required completion date: The intended completion date shall be 30<sup>th</sup> May 2026.</p> <p>“Project manager: The project manager is <u>Abdul Salam Project Implementation Unit Gwadar</u></p> <p>“Site: the site is located at District Gwadar and is defined in drawings attached.”</p> <p>“Start date: the start date shall be <u>20/5/2025</u></p> <p>“Works: the works consist of Construction Of B/T from RCD to Abdullah Burrah - Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)</p>
1.2	This contract shall be a unit priced contract based on priced bills of quantities
2.1	The language of the contract is English. The governing law is the law of the employer’s country.
7.1	Subcontracting is Not Applicable.
8.1	The schedule of key personnel are:



	<ol style="list-style-type: none"> <li>1. Project Manager</li> <li>2. Field Supervisor</li> <li>3. Surveyor</li> <li>4. Quantity Surveyor</li> </ol>
<b>10.1</b>	<p>The minimum insurance covers shall be:</p> <ol style="list-style-type: none"> <li>(a) The minimum insurance cover for the loss of or damage to the works, equipment and materials shall be 110% of the value of the works, with a maximum deductible for each insurance claim of 1,000,000/-</li> <li>(b) The minimum insurance cover for loss of or damage to property (except the works, plant, materials and equipment) is 500,000*- with a maximum deductible of 1,000,000/-</li> <li>(c) The minimum insurance cover for personal injury or death and third-party liability is 2,000,000/- with no deductible.</li> </ol>
<b>12.1</b>	<p>The site possession date shall be <u>available within 15 Days from the letter or acceptance</u></p> <p>The start date 20/5/2025</p>
<b>14.1</b>	<p>The period for submission of the program is 15 days from the date of signature of agreement.</p> <p>The period between the program updates is 15 days.</p> <p>The programme shall be submitted within 28 days from the date of receipt of Letter of Acceptance.</p> <ol style="list-style-type: none"> <li>(a) The program shall be submitted in the form of CPM charts. The Contractor will also have a licensed copy of the software from a reputed firm which shall be accessible to the Engineer as well as his assistants. Contractor shall organize a training program for his and Engineer's staff so that the program can be updated regularly as required. The Contractor shall pay a penalty of Rs. 200,000/- (Rupees Two Hundred Thousand only) for each day of delay in the submission of said Programme of programme. The Employer shall recover such amount from any payment due to the Contractor under the Contract, on the Engineer's recommendations.</li> <li>(b) The Contractor shall submit the Program of Works on Primavera for the agreement of the Engineer and approval of the Employer. All items of works and activities including mobilization should be included in the Program of Works. The program shall identify</li> </ol>

	<p>and highlight those activities, which are on the Critical Path. This program of works as scheduled shall form basis of Liquidated Damages pursuant to clauses 47.1 and 47.3 of Conditions of Contract. In addition, cash flow estimates shall be supported with inputs of over drafts organized with financial institutions at various stages of the projects to meet the funding requirements of the project. The Contractor shall supply and maintain at his site office for his and Engineer's use a licensed copy of the project management computer software package namely Prima Vera or equivalent as used by the Contractor for programming, to monitor the progress. It shall be supplied complete with manuals and technical training and support for the duration of the Contract as per requirements assessed by the Engineer.</p> <p>(b) In order to assist the Engineer, the Contractor shall be required to submit at 2 weeks intervals data to the Engineer on the cost and quantities and other data relevant to the monitoring of progress according to a particular format suitable for computer processing.</p> <p>(c) The programme should identify all items of work including temporary work. Progress reporting by the Contractor should be supported on a monthly basis with an up-to-date analysis of the progress including a statement on items, which are or are about to become critical to the progress of the work along with the proposals on how the Contractor intends to alleviate the situation.</p> <p>d) The programme should be resource based and must provide the critical resource. The programme must be drawn up on CPM.</p> <p>General Requirements:</p> <ol style="list-style-type: none"> <li>Programme should be submitted (both hard and soft copy) strictly following the guidelines and format specified in this Clause.</li> <li>The Engineer at any time during the execution of the Works; direct the Contractor to change/modify the Programme / Schedule based on the Priority of Works.</li> <li>No payment shall be released to the Contractor prior to approval of the Base Line Programme by the Engineer.</li> <li>Submittal of Programme consists of: <ol style="list-style-type: none"> <li>Construction Schedule (CPM);</li> <li>Progress Curve/Project Cash Flow;</li> <li>Critical Resources Usage Chart;</li> </ol> </li> </ol>
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	<ol style="list-style-type: none"> <li>4. Detailed Method Statement;</li> <li>5. Material Procurement Plan;</li> <li>6. Schedule of Submittals and Shop-Drawings;</li> <li>7. Any other details as required by the Engineer;</li> </ol> <p>Construction Schedule:</p> <ol style="list-style-type: none"> <li>a. Construction Schedule shall be developed on the basis of Work Breakdown Structure provided or approved by the Engineer.</li> <li>b. Construction Schedule shall be detailed up to level 4 or as required by the Engineer.</li> <li>c. Durations of construction activity should be calculated practically in relation to quantity of work done and allocated resources. Any activity that demands duration greater than seven (07) calendar days should be split into number of activities to monitor the same accordingly.</li> <li>d. Construction activities of the schedule should be logically linked with preceding and succeeding activities and showed in submitted construction schedule.</li> <li>e. Critical Activities and Critical Path of the project should be highlighted with red colour in bar chart and precedence diagram. Total Float for non-critical activities should also be shown in the schedule.</li> <li>f. Appropriate quantity of direct resources (material, labour, equipment and non-consumable material) should be allocated to all activities and showed in submitted construction schedule. Labour resource should be divided according to related trades (e.g. Mason, Operator, helper etc.) Contractor should make sure that no resource is being over allocated. Indirect resources should be allocated to supervision / summary activity.</li> <li>g. BOQ amount should be loaded to each construction activity. Accrual basis should be indicated to construction activity.</li> <li>h. Construction Schedule should show Activity ID, Activity Description, BOQ Quantity, Duration, Start Date, Finish Date, Total Float, Predecessors, Resource names with quantity, BOQ Amount and timescale where the bars show start and finish dates.</li> </ol> <p><b>Progress Curve / Projected Cash Flow:</b></p> <ol style="list-style-type: none"> <li>a. Progress Curve / Projected Cash Flow should be developed and presented on Project Management Software – Primavera Project Planner as stated above.</li> </ol>
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	<p>b. S-Curve should be plotted between time (in weeks) and progress of work done (in % of work). The progress should be shown in cumulative percent of work done. Percent of work done should be calculated with the BOQ amount and payment terms.</p> <p><b>Critical Resources Usage Chart:</b></p> <p>a. Critical Usage Chart should be developed.</p> <p>b. List of Critical Resources included Labourers, equipments, consumable/non-consumable materials and/or identified by the Engineer.</p> <p>c. Resource usage chart should show Resource Name and weekly utilization (numbers) of resources on each activity.</p> <p><b>Detailed Method Statement:</b></p> <p>a. Detailed method statement should be presented in descriptive format explaining the method of carrying out works, logistics, site layout, flow of work, quality and safety measures for each activity and any other details as required by the Engineer.</p> <p><b>Material Procurement Plan:</b></p> <p>Material Procurement Plan for major items of purchase should be presented in a Tabular Format to be submitted to the Engineer for pre-approval. The Plan must as a minimum show the list of local &amp; imported materials to be used, their specification reference, and planned dates for submittal to Consultant, approval process, placing of order, vendor contact, required delivery on site, status of Line of Credit (LOC) if applicable, and usage of material.</p> <p><b>Schedule of Shop Drawings and Submittals:</b></p> <p>Schedule of Shop Drawings and other Submittals should be presented in a Tabular Format to be submitted to the Engineer for pre-approval. Plan must as a minimum show the list of shop drawings required and their specification reference, planned dates for submittal to consultant, approval process, and usage of shop drawing.</p> <p><b>Cash Flow Estimates:</b></p> <p>The Contractor shall, within 28 days from the receipt of the Letter of Acceptance, provide to the Engineer for his information a detailed cash flow estimates in quarterly periods, of all payments to which the Contractor will be entitled under the Contract and the Contractor shall subsequently supply revised cash flow estimates at quarterly</p>
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	intervals, if required to do so by the Engineer.
<b>20</b>	The Quantities set out in the Bill of Quantities are the estimated quantities for the works, and they are not to be taken as the actual and correct quantities of the works to be executed by contractor in fulfilment of his obligations under the contract
<b>21.1</b>	The Contractor shall not make any such variation without an instruction of the Project Manager, Provided that no instructions shall be required for increase or decrease in the quantity of any works where such increase or decrease is not the result of an instruction given under this clause, but is the result of quantities exceeding or being less than those stated in the Bill of Quantities.
<b>22.4</b>	<p>The Project Manager shall, except as otherwise stated, ascertain and determine by measurement the value of the works in accordance with the contract and the contractor shall be paid that value in accordance clause 22.2. The Project Manager shall, when he required any part of the works to be measured, give reasonable notice to the contractor's authorized agent, who shall:</p> <ul style="list-style-type: none"> <li>(a) Forthwith attend or send a qualified representative to assist the engineer in making such measurement, and</li> <li>(b) Supply all particulars required by the engineer.</li> </ul> <p>Should the contractor not attend, or neglect or omit to send such representative, then the measurement made by the engineer or approved by him shall be taken to be the correct measurement of such part of the works. For the purpose of measuring such permanent works as are to be measured by records and drawings, the Project Manager shall prepare records and drawings as the work proceeds and the contractor, as and when called upon to do so in writing. Shall. Within 14 days, attend to examine and agree such record and drawings with the project manager and shall sign the same when so agreed. If the contractor does not attend to examine of such records and drawings, the contractor does not agree the same or does not sign the same as agreed, they shall nevertheless be taken to be correct , unless the contractor.</p>
<b>24.1</b>	The following are additional compensation events:

	<p>(a) Failure to hire and deploy a competent Project Manager along with relevant staff as per the Contract.</p> <p>(b) Failure to submit inappropriate Work Programme.</p>
<b>26.1</b>	<p>The liquidated damages for the whole of the works are 0.1% of the final contract price per day.</p> <p>The liquidated damages for each section of the works is 10% of the final contract price per day.</p> <p>The maximum amount of liquidated damages for the whole of the works is 10% of the final contract price.</p>
<b>27.1</b>	The advance payment will be 10% of the Contract Price
<b>28.1</b>	The amount of retention money will be 10% of each payment due to the contractor up to the maximum of 5% of the total contract amount.
<b>34.1</b>	The percentage to apply to the value of the works not completed, representing the employer's additional cost for completing the works is 10%
<b>36.1</b>	<p>The place where arbitration shall take place is <u>Quetta</u></p> <p>Arbitration shall not be applicable and any dispute not settled amicably shall be referred to the applicable national court.</p>
<b>37.1</b>	The performance security amount is 10% of the contract price.

## **Works Requirements**

The works requirements include:

- (a) the Specifications (Section VIII)
- (b) the Drawings (Section IX)
- (c) the Bill of Quantities OR Activity Schedules (Section X) and Daywork Schedules, if applicable.

## Section VIII. Specifications

*BOQs and Drawings are attached which include all relevant informations and required specifications*

Government of Balochistan Schedule Specification of 2018 will be followed or Civil Works and other informations are included.

### **SP-20 PROTECTION OF THE ENVIRONMENT**

#### **20.1 General**

The Contractor shall take all necessary measures and precautions and otherwise ensure that the execution of the works and all associated operations on-site are carried out in conformity with statutory and regulatory environmental requirements of Federal/Provincial Environmental Protection Agencies (EPA's) including those prescribed elsewhere in this document.

The Contractor shall take all the measures and precautions to avoid any nuisance or disturbance arising from the execution of the works. This shall wherever possible be achieved by suppression of the nuisance at source rather than abatement of the nuisance once generated. The provision of this sub-clause shall however, be disregarded in respect of emergency work required for saving life or the safety of the works. However, after completing such works of emergent nature, suitable environmental mitigation measures will be adopted by the contractor with the approval of Engineer.

In the event of any spoil or debris or silt from the sites being deposited on adjacent land, the Contractor shall immediately remove such spoils, debris or silt and restore the affected areas to its original state to the satisfaction of both the Supervisor Engineer and the land owner.

Surplus excavation materials and topsoil shall, wherever possible, be used to reinstate quarries or borrow pits or other areas as may be approved by the Supervisor Engineer. Such materials should be spread in such a manner as to limit subsequent erosion and the site shall be re-vegetated as existing ground cover dictates.

#### **20.2 Borrow-Pits for Embankment Construction**

Borrow-pits shall not be dug in the right of way of the road. However, even outside the ROW, depending upon type of soil strata, depth of water table, ground topography and prevalent vegetation state etc, a minimum distance for such borrow areas will be pre-specified by the Supervisor Engineer. The main ruling factors for determining such distances will be the highway safety from capillary action where pore pressure may damage the pavement and the water stagnation, becoming a source of disease vector.

#### **20.3 Quarry Operations**



The Contractor shall obtain materials from licensed and government approved quarries only. The quarry operation shall be undertaken within the purview of the rules and regulations in force. 20.4 Soil Erosion and Sedimentation Control The Contractor shall carry out the works in such a manner that soil erosion is fully controlled, and sedimentation and pollution of natural watercourses, ponds, tanks and reservoirs is avoided. At suitable places sedimentation basin with impervious linings will be constructed for containing, stopping and trapping any silt run off for premature siltation of downstream watercourses and reservoirs.

## **20.5 Conservation of Fauna and Flora**

The Contractor shall adopt all measures and take necessary precautions to avoid disturbance to fauna and flora, as far as possible. Felling of trees and removal of vegetation for fuel or other uses will not be practiced.

## **20.6 Fuel and Chemical Storage**

All fuel and chemical storage shall be sited on an impervious base within an embanked area and secured by fencing. The storage area shall be located away from any watercourse or wetland. The base and walls of the embankment shall be impermeable and of sufficient capacity to contain 110% of the volume of tanks. Filling and re-fuelling shall be strictly controlled and subjected to formal procedures. All valves and trigger guns shall be resistant to unauthorized interference and vandalism and be turned off and securely locked when not in use. The contents of any tank or drum shall be clearly marked. Measures shall be taken to ensure that no contamination happens, or discharges enter any drain or water courses.

## **20.7 Water Quality**

The Contractor shall prevent any interference with the supply to or abstraction from polluted water sources (including underground percolating water) as a result of execution of the works. Areas where water is regularly or repetitively used for dust suppression purposes shall be laid to fall to specially constructed settlement tanks to permit sedimentation of particulate matter. After resettlement, the water may be re-used for dust suppression. All water and liquid waste products arising on the sites shall be collected and disposed of at location outside or off site and in a manner that shall not cause nuisance or pollution. The Contractor shall not discharge or deposit any matter arising from the execution of the works into any place except with the permission of the Supervisor Engineer and the regulatory authorities concerned. The Contractor shall protect all watercourses, water ways, ditches, canals, drains, lakes and the like from pollution, silting flooding or erosion as a result of the execution of the works. The Contractor shall submit the details of his temporary drainage works system (including all surface channels, sediment traps, washing basins and discharge-pits) to the Supervisor Engineer for approval prior to commencing work on its construction.

## **20.8 Air Quality**

The Contractor shall devise and arrange methods of working to minimize dust, gaseous or other air borne emissions and carry out the works in such a manner as to minimize adverse impacts on air quality.

Bituminous hot-mix plants and concrete batching plants shall be located sufficiently away from habitation, agricultural operations or industrial establishments.

The Contractor shall utilize effective after sprays during the delivery and handling of materials when dust is generated and dampen stored material during dry weather.

Stockpiles of materials should be sited in sheltered areas or within hoarding, away from sensitive areas. Stockpiles of friable materials shall be covered with clean tarpaulins with application of sprayed water during dry and windy weather. Stockpiles of debris shall be dampened prior to their movement, except where this is contrary to the specifications.

Any vehicle with an open load carrying area used for transport of potentially dust producing materials shall have properly fitting side and tailboards. Materials having potential to produce dust shall not be loaded to a level higher than the side and tail boards and shall be covered with clean tarpaulin in good condition. The tarpaulin should be properly secured and extended to at least 300 mm over the edges of the sideboard and tailboard.

During high wind, no dust generating operations shall be permitted within 200 m of residential areas having regard to the prevailing direction of the wind.

Construction vehicles and machinery shall be kept in good working order and engine turned off when not in use. Appropriate measures shall be taken to limit exhaust emissions from construction vehicles, machinery and plant and the Contractor shall include details of such proposed measures in the mitigation and monitoring plan to be submitted to the Supervisor.

In residential areas or other sensitive areas, such as nurseries, schools, hospitals, etc. advance warning shall be given to potentially affected persons so that some measures can be taken by them before commencement of the works.

Any open vehicle carrying a load of freshly mixed asphalt concrete for transportation and laying the pavement must have a full cover of tarpaulin sheet. In this manner its toxic fumes will be reduced against any atmospheric contamination.

## **20.9 Noise**

The Contractor shall consider noise as an environmental concern in his planning and during execution of the works. The Contractor shall use plant and equipment conforming to international standards and directives on noise, vibrations and emissions shall include the details of measures for abating noise at source in the mitigation and the monitoring plan to be submitted to the Supervisor Engineer

## **20.10 TRANSMISSION OF DISEASES**

Contractor shall take all necessary measures to prevent transmission of diseases between inhabitants and the labourers engaged for the works, especially with regard to sexually transmitted diseases. The Contractor shall install the necessary medical facilities for this purpose.

The Contractor shall verify that check-up for detecting the occurrence of sexually transmitted diseases amongst the labourers engaged for the works are actually being carried out and submit a certificate to that effect to the Supervisor Construction Engineer.

Preservation of Archaeological, Cultural, Religions Assets/Heritage Value and Antiquities.”

Where antiquities are shown on the drawing or otherwise identified during the course of the works, these shall be protected by means of suitable fencing and barriers to the satisfaction of the Supervisor Engineer. The Contractor shall provide and maintain access at all times for persons wishing to stop and pay their respects.

#### **20.11 PRESERVATION OF ARCHAEOLOGICAL, CULTURAL, RELIGIOUS ASSETS/HERITAGE VALUE AND ANTIQUITIES**

The Contractor shall take all necessary measures to protect any archaeological finds or antiquities as required. Where antiquities are shown on the drawing or otherwise identified during the course of the works, these shall be protected by means of suitable fencing and barriers to the satisfaction of the Supervisor Engineer.

The Contractor shall provide and maintain access at all time for persons wishing to stop and pay their respects.

#### **20.12 ENVIRONMENT ENHANCEMENT**

All existing highways and roads used by vehicle of the Contractor or any of his sub-contractors or suppliers of materials or plant, and similarly any new roads which are part of the works and which are being used by traffic, shall be kept clean and clear of all dust/mud or other extraneous materials dropped by the said vehicles or their tyres. Similarly, all dust/mud or other extraneous materials from the works spreading on these highways shall be immediately cleared by the Contractor.

Clearance shall be affected immediately by manual sweeping and removal of debris, or, if so, directed by the Engineer, by mechanical sweeping and clearing equipment, and all dust, mud and other debris shall be removed entirely from the road surface. Additionally, if so directed by the Supervisory Engineer, the road surface shall be hosed or watered using suitable equipment.

Any structural damage caused to the existing roads by the Contractor's construction equipment shall be made good without any extra cost.

On completion of the works, the Contractor shall reinstate all areas with proper vegetation to the satisfaction of the Supervisor Engineer.

The Contractor shall remove all old tyres and internal rubes from within the limits of right of way and subject to the agreement of adjacent landowners for additional areas of 75 m on either side of the road centreline. The Contractor shall dispose of all materials in a manner approved by the Supervisor Engineer.

Where directed by the Supervisor Engineer, the Contractor shall improve and reinstate the land on which informal roadside service area has been established, by removing all debris and contaminated soils, regarding to natural ground levels and re-establishing the original vegetation where appropriate. All debris and contaminated materials shall be disposed of on site as approved by the Supervisor Engineer.

### **20.13 MEASUREMENT AND PAYMENT**

The work under clause SP-20 (Protection of the Environment) shall neither be measured nor be paid separately. The cost incurred in this respect shall be deemed to have been included in other items of work.

In case of failure of the contractor to comply with all or any provision(s) of this clause the damage(s) caused shall be attributable towards the Contractor, and the Engineer shall assess the amount of such damage(s) which shall be deducted from the monies due or to become due to the Contractor. However, the said amount may be reimbursed to the Contractor on rectification of all damage caused and subsequent certification by the Engineer that the said damage has been rectified by the Contractor at his own cost as per the requirements specified herein above.

Where directed by the Engineer, the Contractor shall improve and reinstate the land on which informal roadside service area has been established, by removing all debris and contaminated soils, regarding to natural ground levels and reestablishing the original vegetation where appropriate. All debris and contaminated materials shall be disposed of on site as approved by the Engineer.

## **SP-21 HEALTH & SAFETY**

### **21.1 General HSE Requirements**

#### **21.1.1 Compliance to Laws/Regulations/Company Requirements**

Contractor shall comply with all applicable Health, Safety and Environmental (HSE) laws and regulations including fire and security, which are applicable to the location where the works are being carried out. Contractor shall indemnify the Employer against all legal costs, fines and compounds imposed and claims and damages incurred as a result of breach or non-compliance with relevant laws and regulations. Contractor shall comply at all times with the requirements as set forth by the Employer in this contract. These requirements are intended to supplement any known or ought to be known laws and regulations applicable at the location where the works are being carried out. Contractor shall take all necessary HSE precautions related to or arising out of the performance of the Contract in order to protect the work, the personnel and property of the Employer, the Engineer, the Contractor, Subcontractor, all third parties and public from the hazards associated with the work.

#### **21.1.2 CONTRACTOR Written Policy/Organization**

The Contractor shall acknowledge the Employer strong commitment to HSE and affirm that it has a written HSE policy which is a standard comparable to international standards and which has been signed and is actively supported and endorsed by the Contractor's management. The Contractor shall further affirm that its HSE policy is widely disseminated and understood among the Contractor and Subcontractor's employees.

#### **21.1.3 Protection of Employees, Public, Assets and Environment**

The Contractor shall protect the safety and health of workers and people living or working near the construction activity from potential hazards created by that activity. The Contractor, without cost to the Employer, must take all necessary precautions to protect the public and minimise disturbance and inconvenience to the public resulting from performance of the work. Throughout performance of the work, the Contractor shall conduct all operations in such a way as to minimize any impact upon the natural environment including compliance with all laws, regulations and rules applicable to the site. The Contractor agrees to indemnify the Employer and the Engineer and their staff for all claims, damage costs and penalties relating to any environmental damage or loss or non-compliance with any laws or regulations arising out of the Contractor and / or Subcontractor performance of works.

#### **21.1.4 Right to Stop Work**

The Engineer or his designated representatives shall have the right to prohibit commencement of works or to stop any works in progress if the equipment, machinery, personnel or work conditions are considered to be unsafe or not to be in compliance with any applicable rules, regulations and procedures.

Stoppage of the work shall be at the Contractor's expense until the Contractor has satisfactorily rectified such unsafe acts and condition. In the event of serious or repeated infringements, the Employer may terminate the contract without compensation.

#### 21.1.5 Maintain Equipment and Machinery in a Safe Condition

The Contractor shall ensure that all Contractor's machinery, plant & equipment, facilities and other items in any way associated with or utilised in the work are fit for use and maintained in a safe and good working condition.

#### 21.1.6 Risk Management and Hazards Identification

The Contractor shall make an initial assessment of the HSE risks involved in the execution of the works. The focus of the assessment should be to evaluate the inherent hazards in conducting the work and the potential adverse consequence of an accident to the workforce, the public and the environment, company assets and reputation. The Contractor shall take appropriate measures to prevent incidents from occurring and to minimise the consequence of an event should it occur. The Contractor shall conduct HSE inspections and audits to ensure that such preventive measures are carried out. The Contractor shall promptly conform to all recommendations made pursuant to the said inspections and audits.

#### 21.1.7 Competent Personnel

The Contractor shall ensure that competent personnel are fully trained and certified to carry out the task of operating all machinery, equipment, and tools. The Contractor shall provide an adequate level of technical and HSE related training conducted by a recognized training institution for all relevant personnel in connection with the work. All Contractor's supervisory personnel shall attend relevant HSE training and be competent in hazard identification. The Contractor shall ensure that all Contractor and Subcontractors personnel engaged in the works are healthy and fit, and possess the experience and skill required to perform the works.

#### 21.1.8 HSE Awareness

The Contractor shall be responsible for maintaining and enhancing the HSE awareness among its personnel and subcontractor's personnel, including arranging safety induction, toolbox, regular HSE meetings and emergency drills. Findings of hazard identification including Job Safety Analysis shall be communicated to the Contractor's personnel. Copies of minutes of the Contractor's safety meetings shall be submitted to the Engineer.

#### 21.1.9. Incident Reporting

The Contractor shall report to the Engineer immediately of the occurrence of any incident or accident including near misses. The Contractor shall also alert fire brigade, police and /or other authorities relevant to the incidents or accidents. The Contractor shall be responsible for reporting accidents and incidents to relevant Pakistan Authority.

All incidents or accidents that result in or have the potential to cause serious injury or property damage must be suitably investigated by the Contractor and reported to the Engineer.

The Contractor shall maintain accurate incident and injury reports and shall comply with the Engineer's requests for information relating to accidents, injury or illnesses.

#### 21.1.10. Housekeeping

The Contractor shall ensure that good housekeeping is maintained continuously throughout the duration of the work. Due regard being paid to proper disposal of scrap material, tidiness, and clear access ways and emergency exits. Access and egress of all exits, fire and safety equipment, and work areas must be kept clear of obstructions at all times. Special attention must be given to maintaining clear walkways, removal of slippery and tripping hazards, securing or removing of loose materials at height, and proper storage of materials. Oily or chemical soaked rags must be disposed of in a proper manner. All housekeeping shall be at The Contractor's cost.

### 21.2. SPECIFIC HSE REQUIREMENTS

#### 21.2.1. Site SHO/Safety Supervisor

The contractor will nominate one of his field staff as Safety and Health Focal Point (FP) to oversee the safety and health related aspects during project implementation. The FP should be a trained and experienced person in handling of H&S aspects in implementing civil works who will be responsible to provide guidance and advice pertaining to Health, Safety and Environment (HSE) requirements of works. He shall guide Contractor's management on hazard assessment and measures, with equal diligence being paid to fulfillment of the contractual technical specifications. In addition to the ongoing monitoring of the site, the Contractor's SHO or supervisors shall also perform a formal inspection of the site at least once every week to identify and correct any hazardous conditions noted. A written record of all inspections and their status of action follow-ups shall be given to the Engineer. Prior to start of works, the Contractor shall submit the CV of the proposed SHO or supervisors for the Engineer's approval.

21.2.2. Project HSE Plan One week, prior to mobilization, the Contractor shall prepare and submit a project specific HSE plan to the Engineer which, as a minimum, describes the followings:

- Prevailing laws and regulations
- Contractor's HSE policy
- Contractor's organization including position for HSE manager
- HSE responsibilities of key personnel
- Hazards review including control and mitigating measures
- Description and schedule of HSE activities
- Accident/incident reporting
- Emergency response plan

The Engineer's review or comments on such plan shall not relieve nor shall such review and comments to be construed as limiting in any manner the Contractor's obligations to undertake any action which may be necessary to establish and maintain safe working conditions at the location where the works are being carried out.

#### 21.2.3. Equipment Safety Inspections

Prior to start of works, the Contractor is required to inspect the equipment and machinery required in connection with the works including but not limited to cranes, bulldozers, trucks, tractors, rigs, dumpers, etc., to ensure that works will be performed under safe condition. In addition, the Contractor shall maintain and perform continuous safety inspection throughout the works and shall promptly implement all recommendations made pursuant to the said inspection.

The Engineer shall have the right to conduct its own safety audit or inspection at worksite. The Contractor shall comply with all recommendations arising from such audit or inspection.

The Contractor shall ensure that all fuel powered and electrical equipment working in the vicinity of flammable vapors is equipped with proper ignition protective devices.

The Contractor shall ensure that only permitted personnel by way of previous technical training and familiarization are allowed to operate and/or maintain equipment and machinery.

#### 21.2.4. Personal Protective Equipment (PPE)

The Contractor shall at its own cost, supply its personnel and subcontractor's personnel required in connection with the works with adequate PPE and maintain enforcement on the use of such PPE. The Contractor shall purchase PPE in accordance with the specification as per attachment - PPE STANDARDS & TECHNICAL SPECIFICATIONS.

#### 21.2.5. Mechanical Equipment Operation

The Contractor shall upon arrival at the worksite, inspect all mechanical construction equipment that is to be utilized in the work. The equipment will include but may not be limited to the following:

- Cranes
- Dozers
- Graders
- Rollers
- Excavators
- Fork lifters
- Dumpers
- Semi-Trailers and Prime Movers
- Compressors
- Temporary Electrical Distribution Boards
- Scaffolding equipment
- Rigging equipment
- Man-cages/Personal Baskets
- Aerial Work Platforms
- etc

All equipment inspected that requires remedial work (or replacement) shall be carried out at the Contractor's cost. All equipment inspected and accepted for use on the work shall be clearly identified by a tagging system.



#### 21.2.6. Occupational Health

The Contractor shall ensure that all its employees and subcontractor employees engaged in the work are medically fit and healthy. Any medical diseases or disabilities which the Contractor may consider will not adversely influence the employee's ability to perform his role in the work, should be reported to the Engineer prior to start of the work. The Contractor shall at no cost to the Employer be responsible for the medical welfare of its own and subcontractor employees and shall take care of arrangements for medical attendance, treatment or hospitalization if and when necessary and will arrange suitable insurance coverage for such contingencies. The Contractor shall at its own expense have a fully equipped first aid station on site and make arrangement for availability of an ambulance. This station will be manned during all working hours. The Contractor shall ensure that its personnel and subcontractor's personnel shall maintain a high standard of hygiene in connection with the performance of the work. The Contractor shall provide where necessary sufficient hygienic drinking fluids and toilet facilities to meet health regulations and all other legislated requirements.

#### 21.2.7. Confined Space

The Contractor shall take precautions which include gas/oxygen tests, PPE requirement, respiratory equipment, isolation of connections to confined space, provide ventilation and making confine space free from fumes, place person on standby, ensure availability of rescue equipment and team. The Contractor's SHO or supervisor shall ensure that all necessary precautions and protective measures are implemented. The person(s) shall be certified medically fit before they are allowed to work in confined space.

#### 21.2.8. Emergency Response Preparedness

The Contractor, at its own cost, shall ensure adequate provision for emergency response at site, which as a minimum, includes the following;

- ☐ fire fighting
- ☐ fire prevention
- ☐ first aid medical facilities

The Contractor personnel shall be trained and competent in the use of firefighting equipment effectively. The Contractor shall also ensure its first aiders are trained and competent to render such services effectively.

#### 21.2.9. Tools and Equipment

The Contractor shall ensure that all Contractor's machinery, tools and equipment, facilities, and other items associated with the works whether purchased or rented are maintained in a safe condition and to be operated by competent operators. Tools shall only be used for the job they were designed to perform. All guards must be fitted and shall be in good condition at all times. All grinding discs must be checked for correct size and speed prior to fitting. Electrically powered tools shall meet the hazardous zones requirement where applicable. All electric cables shall be industrial type. Use of domestic electric cables is prohibited. The Contractor shall repair or replace defective tools and equipment at its own cost. Appropriate personal protective equipment must be worn at all times when using any tool or equipment.

#### 21.2.10. Compressed Gas Cylinders

The Contractor shall ensure that:

- ✓ Compressed gas cylinders are stored, transported or used shall be in an upright position and secured to some substantial object or structure by a chain or other suitable means capable of supporting the weight of the cylinder. This applies to all cylinders, whether empty or full.
- ✓ Cylinder valves shall be closed, and hoses depressurized when cylinders are not in use.
- ✓ Cylinders shall have protective valve caps in place while they are being transported/stored.
- ✓ Cylinders shall be returned promptly to a suitable storage area after use. They shall not be left at any worksite. Cylinder storage areas should be kept away from general traffic paths and must not be adjacent to vehicle paths.
- ✓ Flammable gases and oxygen cylinders must not be stored in close proximity unless separated by a non-combustible wall.
- ✓ Cylinders may only be hoisted when enclosed in an approved box or cradle within which they are securely fastened.
- ✓ Cylinders must be identified by a colour code in accordance with International or respective national standards.
- ✓ Gas welding cylinders shall have hose check valve (non-return valves), which allow gases to the blowpipe, but not back from it. Flashback arrestors shall be installed to quench flashback flames and cut off the gas flow automatically, in both oxygen and fuel gas outlet lines.

21.2.11. Welding and Cutting The Contractor shall ensure only competent, authorized workers be allowed to use welding equipment. Hard hats are not required to be worn by welders during actual process of welding if there is no immediate potential for head injury. The Contractor shall ensure suitable precautions against exposure of welding hazards such as excessive ultraviolet radiation, fire, or dusts. Welding, and/or flame cutting in the vicinity of flammable or combustible items shall only done under the control of qualified persons. The Contractor shall provide adequate number of suitable fire extinguishers at a welding site. Welders must be competent in the use of these extinguishers.

#### **21.2.12. Grit Blasting**

The Contractor shall take permit prior to grit blasting. The Contractor shall provide the blaster with an approved air mask and oil free air supply. Associated workers and any other personnel within the blasting area must wear approved respiratory protection. The blasting gun must have a 'dead man' safety device operated by the blaster. Under no circumstances the device be tied back. The Contractor shall made efforts to minimize the exposure of the blasting grit to the surrounding environment. Protective shield shall be erected and notice board shall be posted in order to prevent exposure of the blasting grit.

#### **21.2.13. Chemicals**

The Contractor shall ensure all requirements for storage, handling and personal protection outlined in the material safety data sheet are followed. Solvents, cleaners and cleaning agents must not be stored or used in unventilated areas or in immediate proximity to any sources of ignition. Quantities of such materials greater than needed for one day's work must be stored outside the work area in a proper storage facility. Smoking is not permitted while using or in the vicinity of any such chemical.

#### **21.2.14. Driving/Vehicle Safety**

Section VIII. Specifications

Construction of Roads- Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)

Construction of Road From RCD to Abdullah Burrah Goth

The Contractor's personnel travelling to and from worksite shall use proper means of transport. Such transport shall comply with the Pakistan Statutory Rules made under the Road Traffic. Transportation of personnel in open trucks is prohibited unless fitted with seats and certified to carry passengers. The Contractor shall be responsible to provide safe access and egress to the worksite. The Contractor shall set allowable speed limit and place suitable road signs at the worksite. The Contractor shall maintain and enhance the awareness of safe driving among its employees and its subcontractors. This includes wearing of seat belt, observing speed limit, maintain good vehicle condition, driving defensively and possess valid driving license.

#### 21.2.15. Electrical Safety/Power Source

No part of any lifting device or any equipment operated around power lines is closer than below:

150-750 V	2.0 m
750- 50k V	3.0m
50k-250k V	4.5m
250kV	6.0m

For High Tension Lines 9.0m The above clearance applies in all directions. All overhead lines shall be identified with a highly visible sign placed 7m from both sides of the lines, 1.8m above ground. A restriction guard pole connected with ropes shall be installed to restrict clearance close to power lines.

#### 21.2.16. Excavation

The Contractor shall ensure that all excavation works shall meet the following requirements as a minimum:

Prior to embarking excavation work, proper design and plan shall be drawn up taking into account the soil type and the weather conditions and proximity to facilities.

- ✓ Walkways between trenches should be kept clear of obstruction.
- ✓ A competent person must inspect all excavations, shafts, tunnels and earthworks of any description each day when persons are working.
- ✓ A standby person shall be made available at all times equipped with all necessary safety equipment.
- ✓ All excavation exceeding 1.5 meters shall be shored. For excavation exceeding 2 meters deep, a confined space permit shall be secured.
- ✓ No mechanical excavation within 1 meter of the existing service is allowed. All underground services must be hand exposed and identified.

#### 21.2.17. Barricade, Platforms and Guardrails.

The Contractor shall provide adequate barricades, covers, guardrails, signal flag persons or other appropriate warning devices to protect personnel near any hazardous operations or overhead work. Temporary covers for floor openings shall be firmly fastened and clearly identified by warning signs.

All elevated work areas, walkways, platforms etc. whether permanent or temporary, shall be protected by an approved guardrail (consisting of an upper and intermediate rail and toe board) and shall provide a sturdy working space. The Contractor shall provide all the necessary signs, barriers, flag persons, etc. to protect the general public from exposure to injury from the work.

#### 21.2.18. Scaffold

A safe means of access must be provided for any work to be carried out at a height greater than 2 meters above ground. If a suitable permanent access is not available, a well-designed, erected, inspected and maintained scaffold will provide a safe means of access and safe working areas. Additionally, safe access to scaffold work platforms by ladder must be provided. All scaffoldings shall be constructed in accordance with B.S 5973 Code of Practice for Access and Working Scaffolds and Special Scaffold Structures in Steel or its equivalent.

All scaffolding materials and components shall be as follows:

- a. Scaffold tubes All tubes must be galvanized steel 48.3mm OD and wall thickness 4mm comply to BS 1139 Part 1, 1982 and free from cracks, splits or excessive corrosion and straight. Black steel or aluminum scaffold not allowed in offshore.
- b. Coupling and fittings They must be of sound construction, comply with BS 1139, Part 2. Do not mix tubular and fittings of different standards.
- c. Board or decking All timber scaffold boards shall meet the international standards.
- d. Ladders (If Applicable) Ladders shall meet BS 1129:1982.
- e. Frame or tubular scaffold Only proprietary steel frame or tubular scaffold of sound design and construction are allowed as per manufacturer specification.
- f. Inspection (If Applicable) A scaffold inspector shall inspect all scaffold material. Any scaffold above 40 meters must be designed and endorsed by a professional structural engineer and forward to DOSH.
- g. Erection Scaffolds must only be erected, altered or dismantled by competent scaffolder under supervision of a charge and scaffolder. All inspection of any scaffold shall be done by a scaffold inspector.

#### 21.2.19. Camp Site

The Contractor shall construct and operate the camp in accordance to the following requirements:

- Air-condition rooms
- Electrical equipment and wiring to safety standard
- Smoking in bedrooms prohibited
- All fuel lines are properly connected
- Smoke detectors in rooms
- Fire extinguishers at strategic locations
- Evacuation procedures posted

#### 21.2.20. Office

The Contractor shall maintain comfortable working condition in office as follows:

- Temp 20-26 deg C
- Humidity 40-60%
- Adequate ventilation
- No smoking policy
- Photo copy placed away from personnel work stations
- Adequate lighting comfortable to the eyes at 400 lux
- Recommend white ceiling
- Colorful posters/picture on walls
- Office space at 6.25 sq. m per work station
- Welfare facilities e.g. toilet, eating area
- Cleaning daily
- Clear passage ways, remove tripping hazards
- Emergency plans for evacuation
- Ensure car park well-lit and control of vehicle movement
- Office chairs with 5 wheels and adjustable seat height and back rest
- Reduce VDU screen glare
- Frequent breaks for key board operator
- Gentle stretching exercise before work and after lunch
- Keep first aid box

#### 21.2.21. Storage/Warehouse

The Contractor shall ensure an acceptable means for storing of material, chemical and fuel on sites. The requirements for storing of materials shall also include the following:

- Construction material shall be stored at the designated on site location
- Written procedures on handling and disposal for all material must be made available
- Good housekeeping must be practiced at all storage sites
- Storage areas must be located away from vehicular traffic at areas
- Storage floors and bins shall be labeled and constructed to safely support the loads that are to be imposed upon them with a posted appropriate maximum safe load limits provided
- Storage buildings and warehouses must be provided with an approved lighting, well ventilated and constructed with a fire resistance or explosion proof facilities where appropriate for the chemicals or fuel stored.
- Welding, cutting or any other operations that create sparks must be prohibited inside a warehouse or near the flammable/combustible material storage yards
- Adequate number of fire extinguishers of appropriate type shall be made available at all storage areas and warehouses.
- All personnel shall wear approved hard hats while in storage areas.
- Flammable and combustible liquids shall be stored separately preferably in storage buildings
- Storage rooms shall be constructed to meet the required fire-resistant rating for their use
- Approved safety cans/cabinets shall be used to store and transfer flammable and combustible liquids, with the name of the material clearly stamped
- "No Smoking" signs shall be posted at storage areas involving flammable and combustible liquids

#### 21.2.22. Explosives

The Contractor shall ensure that every reasonable precaution will be taken to ensure that Contractor's personnel dealing with the explosives observe recognized industry regulations in the correct transportation, storage and usage of explosives. Similar precautions must be exercised when dealing with other potentially hazardous sources e.g. flammable substances. The Contractor shall obtain all relevant permits and comply with the regulatory requirements for the use of explosives.

#### 21.2.23. Transportation

Whether by land, sea or air, the Contractor is obliged to follow recognized industry guidelines for the safe transport of personnel and equipment.

#### 21.2.24. Lifting

All cranes supplied by the Contractor shall be tested before going into service and witnessed by the Engineer and a competent person. All associated lifting equipment shall be inspected. The Contractor shall maintain cranes in a safe condition and make repair or replace unsafe crane for works. Should there be any doubt to the safety of the crane, the Contractor may be required to perform load test at the Contractor's cost. A colour coding shall be used to identify inspected lifting appliance. The Contractor shall seek approval from the Engineer on any heavy lifting of 15 tons and above. The Contractor is responsible for ensuring that a competent, authorised worker operates any lifting device and that a signaller is designated to signal the operator as necessary to properly place and control the loads. Prior to performing any lift, the operator shall determine the weight of the object being lifted and ensure that cables, lifting device and any slings, wire rope, chains etc used in the lift are of a sufficient strength to support the weight of the load. No worker shall be allowed under the load. Tag lines must be used to guide and control the load where excessive movement is possible. A competent worker shall inspect all wire rope, chains, and slings prior to performing any lift. Record of inspection shall be kept for the Engineer's review. During winch or tow, all personnel must be clear of the 'whip area' of cable under tension

#### **21.2.25. Heavy Machinery**

All Contractor's vehicles on any worksite must have proper insurance, be in safe operating condition. They must be operated by a competent, properly licensed operator. It shall be operated in a safe manner and a speed suited to the terrain and weather condition. All cranes, stringing trucks, heavy and tracked equipment and machinery, welding rigs and all vehicles except passenger vehicles must be fitted with reverse alarms. Any vehicle with restricted vision shall not operate in the vicinity of workers, except under the direction of a designated worker who is in a position to see that the way is clear and safe.

#### **21.2.26. Security**

The Contractor shall provide adequate number of security personnel and security measures to safeguard the worksite from any threat, damage or theft of properties. The Contractor' security

personnel shall be equipped with communication equipment and able to contact police and other emergency units as required. The Contractor shall provide shelter, facilities and amenities to enable such functions or duties to be performed properly and to the satisfaction of safety officer.

#### **21.2.27. Drugs and Alcohol**

The use, sale, dispensing or possession of drugs, narcotics and alcoholic beverages is prohibited on the location and at any place where the work is performed

The Contractor shall ensure that its personnel do not at any time, during the performance of the work, partake, brought onto the worksite, or be under the influence of any alcoholic liquor, drug or other intoxicating substance. This prohibition also covers all legal or prescription drug which may impair an employee's ability to perform his job safely. Employees who are caught using or in possession of drugs, on the job site shall be discharged. The Employer reserves the right to randomly require the Contractor's employee, to undergo drug test at any time. Drugs test may be used in the event of accident investigation. The Contractor undertakes that each and every person engaged by it or on its behalf to perform any element of the work (including Sub-Contractor) shall be advised of the local laws relating to the importation and/ or possession of drugs.

#### **21.2.28. Statistics Board**

The Contractor is required to install a Loss Production Scoreboard to highlight achievement/milestone for safe working hours of employees. The Contractor shall install the above at each site office. The Loss Production Scoreboard shall be of acceptable material and shall contain the following information as a minimum (in English and Urdu):

Name of CONTRACTOR\_\_\_\_\_

Date Start of Work. \_\_\_\_\_

Safety Target without LTA (Manhours, Year)\_\_\_\_\_

Best Record Achieved (Manhours, Year)\_\_\_\_\_

Current Manhours Achieved (Manhours, Year)\_\_\_\_\_

Date of Last Loss Time Accident \_\_\_\_\_

Total Number of Loss Time Accidents\_\_\_\_\_

All lettering in the Safety Scoreboard shall be of suitable sizes and shall be visible from at least 10 meters distance.

#### **21.2.29. Safety Induction**

The Contractor shall implement a safety induction for the site. The Contractor shall identify its employees who will be required to attend the safety induction program. The induction briefing shall be applicable to all employees and subcontractor's employees. Briefing shall be done at site prior to the commencing of the construction activities. Each worker who has attended and completed the safety induction program shall be issued with a sticker to indicate that he has completed the safety induction course. The sticker shall be displayed on the safety hat and worn at site.

works supervisor hold 'tool box' safety meeting for about 5-10 minutes daily or prior to each shift. Specific topics shall include hazards relevant to current work, review of accidents and near misses and deficiencies and any new equipment or machinery. The Contractor shall maintain records of attendance and submit to the Engineer as and when required.

#### **21.2.31. HSE Meetings**

The Contractor shall form a Safety Committee at work site and shall hold regular meetings, minimum monthly to create and maintain an active interest in safety. The meeting shall be attended by the Contractor management and workers representatives and chaired by the Engineer's Representative. Minutes of meeting shall be distributed to all attendees.

#### **21.2.32. Working at Height**

When working at height more than 7.5 meters, the Contractor shall provide suitable safety nets, which extends 2.5 meters beyond the edge of work level. The nets shall be sufficiently anchored using steel hooks or shackle. The net shall be inspected daily. If nets are impractical, the Contractor shall provide to the workers fall protection devices such as belts, harness etc. Fall protection is required when working above 3 meters. Personnel using fall protection shall be instructed on its use.

#### **21.2.33. Air hose**

Air hose should not be used to clean any part of the body or clothing or use to blow off dirt on the floor. 2

#### **1.2.34. Safety Inspection/Audits**

Prior to commencement of works, the Contractor is required to inspect the worksite and equipment involved to ensure that works will be performed under safe conditions. In addition, the Contractor shall maintain and perform continuous safety inspection throughout the works and shall promptly implement all recommendations made pursuant to the said inspection. The Employer/Engineer shall have the right to conduct its own safety audit/Inspection at the worksite. The Contractor shall comply with all recommendations arising from such audit/Inspection.

#### **21.2.35. Safety Performance Target**

The Contractor shall implement and establish a safety management award program to recognize the achievement and milestone for safe working performance for its employees.

21.2.36. Abrasive Wheels The Contractor shall ensure that safety protection in the form of goggles and /or shields are provided and utilized by the personnel working with grinding machines and abrasive wheels. When changing discs on a portable grinder it is imperative that a suitable replacement disc is fitted and placed in the correct manner. The Contractor shall ensure only trained and competent personnel are permitted to carry out this task.

#### **21.2.37. CONTRACTOR HSE Performance Reports**



The Contractor shall compile and maintain HSE performance records pertaining to its work. These records shall be updated and submitted to the Engineer's representative monthly or as and when required.

#### **21.2.38. CONTRACTOR Training Records**

The Contractor shall provide adequate training to all its personnel to ensure that they have sufficient knowledge and skill to undertake assigned tasks. The Contractor's training records shall be maintained and controlled.

#### **21.2.39. Job Safety Analysis (JSA)**

Prior to performance of works, the Contractor shall implement JSA as a safety precaution to eliminate or guard against hazards on a specified job. The JSA methodology shall include the following:

- Study the job and develop basic job steps
- Identify the hazards on each job step
- Identify the safety precautions to be taken against each job step
- Record the JSA and inform all workers of the hazards and precautions to be taken.

#### **21.2.40. Incident Notifications and Investigation**

The Contractor shall develop an accident/incident reporting system. Any hazardous incident involving the Employer, the Engineer, the Contractor's or any third-party personnel, plant or equipment, shall be immediately reported to the Engineer, irrespective of whether there is injury to personnel or damage to plant or equipment. All incidents that result in or have the potential to cause serious injuries or property damage must be suitably investigated by the Contractor and reported to the Engineer. The Contractor shall keep a copy of the report at site. The Contractor is required to investigate and report major accident to the concerned government department in accordance with the regulatory requirements. The investigation and report shall not preclude any similar investigations and reports required by the governmental authority in accordance with any relevant laws and regulations and maybe handled concurrently with them. Upon completion of the work under the contract and/or on an annual basis, whichever is more frequent, the Contractor shall prepare a summary report of its HSE performance together with an accident statistic.

#### **21.2.41. Use of Public Road**

The Contractor shall keep public roads free from its debris due to vehicle movement to and from site. The Contractor shall provide washing facilities at site to clean vehicle tyres. In the event of spillage onto the road, the Contractor shall undertake the cleaning at its own cost.

1	Safety Glasses	Frame: Wide vision, clear plastic eye shield with side protection which can be worn over spectacles
---	----------------	---

		<p>Lenses: Clear polycarbonate affording BS2092 Grade 2 impact protection</p> <p>Marking: all eye shields shall be appropriately and distinctly stamped with the authentic trademarks of the Manufacturer's monogram and standards.</p> <p>Accessories: Neck strap only</p> <p>Certificate: Manufacture's Certificate of compliance to standards.</p> <p>ANSI Z 87.1-1979 ANSI ZZ 87.1-1979 AS 1337-1981 BS 2092, BS1542, BS679, DIN 4646/58211</p>
2	Safety Shoes For general use	<p>Hazard control: Crush from heavy objects (steel toe), Cuts and Puncture, Slip (oil resistant sole), Trip &amp; Fall and Electrocution.</p> <p>ANSI Z 41.1-1967 AS 2210 EN 345</p>
	For chemical use	<p>Hazard control: Crush from heavy objects(steel toe), Slip(oil resistant sole), Trip &amp; Fall and Chemical contact BS 1870/3 , DIN 4843</p>
3.	Coverall	<p>One-piece coverall, Long Sleeve. Flame/fire retardant material Nomex III A (DuPont Product)</p> <p>Weight : min 150 gm/m2</p> <p>Colour : Dark Green</p>
4.	<p>Hand Protection</p> <p>Cotton Glove</p> <p>Leather Cotton Glove</p> <p>PVC Glove</p>	<p>All types of gloves, cotton, leather or PVC conforming to BS 1651 are acceptable.</p> <p>Knitted Cuffs, palm, thumb and forefinger with anti-slip, PVC Dots. Overall length 250mm</p> <p>Cotton drill knitted cuffs with chrome leather palm. Overall length 250mm</p> <p>PVC over knit cotton interlock standard weight and smooth surface. Length 400 mm.</p>
5.	<p>Hearing Protection</p> <p>Ear muff</p> <p>Era muff on helmet</p> <p>Ear plug/insert</p>	<p>Adjustable all-purpose headbands</p> <p>BS 6344, ANSI S 3.19</p> <p>Adjustable BS 6344, ANSI S 3.19</p> <p>Expandable Foam, Non -toxic and Nonirritant</p> <p>ANSI Z 24.22 ANSI s 3.19-74 BS 5108, ISO 4869</p>

#### Section VIII. Specifications

Construction of Roads- Ref. No: PAK-2000002331-0089-W-NCB(1.3.19)

Construction of Road From RCD to Abdullah Burrah Goth

6.	Head Protection	<p>Peak type, Chemical resistance complete with hot press branding adjustable headband, Clip and Elastic chin straps</p> <p>Material: ABS (Acrylic Butadiene Styrene Added with Nitrile)</p> <p>ANSI Z 89.2-71, ANSI Z 89.1-86 class B, AS 1808-81, BS 5240, DIN 4840</p>
7.	<p>Eye/face Protection</p> <p>Chemical splash goggle</p> <p>Face shield</p> <p>Welding goggles</p>	<p>Goggles with hooded ventilation - 2 ( face shield (9) in combination with safety glasses - 4, 5)</p> <p>ANSI Z87.1-68</p> <p>Face shield Goggles or Safety glasses - 1,3, 4, 5 (for severe exposure face shield – 9)</p> <p>ANSI Z 89.1</p> <p>Welding goggles with tinted lenses 6, 7, 8 (for severe exposure face shield - 9)</p> <p>ANSI Z 87.1-68, ANSI Z 87.1-79</p>
8.	Safety Harness/lifebelt	<p>The harnesses shall be constructed of shock-absorbing material e.g. Webbing manufactured from synthetic fiber. Similar, safety lanyards shall also be of synthetic fibers e.g. Polyamide, nylon or polyester.</p> <p>To reduce possible injuries to the users from decelerating forces, safety harnesses, the leg and shoulder load-carrying straps at least 38mm (1.5 inches) wide.</p> <p>Each safety harness must be provided with one or more Drings suitably positioned for attachment to a safety lanyard. They shall withstand a 5000 lb (2300 kg) force without breaking or cracking. Buckles should be of a universal-friction type. Harnesses with load-bearing single-insertion type buckles are not permitted. Snap hooks for safety lanyards shall be of the self-closing type and shall be designed so that disengagement of each of the latches requires a deliberate action. All harnesses and safety lanyards shall carry test certificates or certificates of compliance to the standards to which they are manufactured. Two types of safety harnesses are recommended, and they are classified according to their intended use:- Chest Harness - Class II Body Harness - Class III AS1891, BS1397, MS707, LS 954-lanyard</p>

9	Personal floatation Devices(PFD's)  Life Jacket  Work Vest	It is intended as an aid to turn an unconscious person in the water from a face down position to a vertical and slightly backward position which greatly increases the chance of survival.  Type 1 PFD USGC(US Coast Guard)  It is intended as an aid to floatation should the wearer fall into the water.  Type V PFD USGC(US Coast Guard)
10.	Breathing Apparatus B	BS 4667, NIOSH
11.	Self-contain Breathing Apparatus	NIOSH, ANSI, AS, BS
12.	Other Respirator for protection from dust, vapors, etc.	BS 2091, NIOSH, EN 149
13.	Fire Fighting Suits	NFPA 1971, NBSIR 75, US OSHA CFR 1010 VOL 45

### 21.3. MEASUREMENT AND PAYMENT

The work under this SP-21 (Health & Safety) shall neither be measured nor be paid separately. The cost incurred in this respect shall be deemed to have been included in other items of work. In case of failure of the contractor to comply with all or any provision(s) of this SP-21, the damage(s) caused shall be attributable towards the Contractor, and the Engineer shall assess the amount of such damage(s) which shall be deducted from the monies due or to become due to the Contractor.

## **Section IX. Drawings**

Drawings are attached.

## Section X. Bill of Quantities OR Activity Schedule

*BoQs are attached*

*[In addition, if dayworks are foreseen, then indicative quantities of labour, materials and equipment time must be filled in the following dayworks schedules by the employer. They are to be priced by the bidder.]*

### Dayworks

*[If applicable insert here 3 Dayworks Tables for a) Labour, b) Materials and c) Equipment]*

### Schedule of Dayworks Rates: Materials

<b>Item no.</b>	<b>Description</b>	<b>Unit</b>	<b>Nominal quantity</b>	<b>Rate</b>	<b>Extended amount</b>
	<b>Subtotal</b>				
	Allow ____ percent <sup>a</sup> of subtotal for contractor's overhead, profit, etc.				
	<b>Total for dayworks: materials (carried forward to dayworks summary, p. ____)</b>				<b>_____</b>

a. To be entered by the bidder.

### Schedule of Dayworks Rates: Labour

[illegible]

### Schedule of Dayworks Rates: Contractor's Equipment





## **Section XI. Contract Forms**

<b>Section XI (A). Notice of Intent to Award .....</b>	<b>108</b>
<b>Section XI (B). Letter of Acceptance .....</b>	<b>110</b>
<b>Section XI (C). Contract Agreement .....</b>	<b>111</b>
<b>Section XI (D). Bank Guarantee Form for Advance Payment .....</b>	<b>113</b>
<b>Section XI (E). Performance Security Form.....</b>	<b>115</b>

## Section XI (A). Notice of Intent to Award

*This is not a notification of award or letter of acceptance. The employer intends to contract to be formed with this notice.*

*[letterhead paper of the employer]*

*Insert project logo (if existing)*

For the attention of the bidder's authorized representative

Name: *[insert authorized representative's name]*

Address: *[insert authorized representative's address]*

Telephone/Fax numbers: *[insert authorized representative's telephone/fax numbers]*

Email Address: *[insert authorized representative's email address]*

**DATE OF TRANSMISSION:** *[insert date]*

**Procuring entity:** *[insert the name of the procuring entity]*

**Procurement title:** *[insert]*

**Ref no:** *[insert]*

This notice of intent to award (NOITA) notifies you of our decision to award the above contract to *[insert the successful bidder]*.

Please note that this notice does not constitute any contract between the procuring entity and the bidder and neither establishes any legal rights or obligations for the procuring entity or bidder.

***[IMPORTANT: provide the results of the evaluation and the prices of each bidder [if applicable] in this NOITA].***

<b>Name of bidder</b>	<b>Points scored</b>	<b>Bid price</b>	<b>Evaluated bid price (if applicable)</b>
<i>[insert name]</i>	<i>[insert points]</i>	<i>[insert bid price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert points]</i>	<i>[insert bid price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert points]</i>	<i>[insert bid price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert points]</i>	<i>[insert bid price]</i>	<i>[insert evaluated price]</i>
<i>[insert name]</i>	<i>[insert points]</i>	<i>[insert bid price]</i>	<i>[insert evaluated price]</i>

If your bid has not been successful, you may request a debriefing in relation to the results of the evaluation of your bid. If you decide to request a debriefing, your written request must be made within *[insert number of stated in the bidding document and see the module M1 on debriefs in the IFAD Procurement Handbook for more information]* business days of receipt of this NOITA.

If your request for a debriefing is received within the deadline above, we will provide the debriefing within *[insert number stated in the bidding document and see the module M1 on debriefs in the IFAD Procurement Handbook for more information]* business days of receipt of your request.

The debriefing may be in writing, by video conference call or in person. We shall promptly advise you in writing how the debriefing will take place and confirm the date and time.

The period within which you can protest the procurement proceedings lasts *[insert number stated in the bidding document and see the module M2 on protests in the IFAD Procurement Handbook for more information]* business days after the date of transmission of this NOITA.

Yours sincerely,

Authorised Official

## Section XI (B). Letter of Acceptance

*Notes on standard form of letter of acceptance*

*The letter of acceptance will be the basis for formation of the contract as described in clauses 35.1 of the Instructions to Bidders. This standard form of letter of acceptance is included for information at the bidding stage and shall be filled in and sent to the successful bidder only after evaluation of bids has been completed.*

*[letterhead paper of the employer]*

\_\_\_\_\_ *[date]*

To: \_\_\_\_\_  
*[name of the contractor]*

\_\_\_\_\_  
*[address of the contractor]*

This is to notify you that your bid dated \_\_\_\_\_ for the execution of the \_\_\_\_\_  
\_\_\_\_\_ *[name of the contract and identification number, as given in the*  
*SCC]* for the Contract Price of \_\_\_\_\_ (\_\_\_\_\_) *[amount in*  
*numbers and words]* \_\_\_\_\_, as corrected and modified in accordance  
with the instruction to bidders, is hereby accepted by the employer.

You are hereby instructed to proceed with the execution of the said works in accordance with the contract documents.

Authorized Signature: \_\_\_\_\_

Name and Title of Signatory: \_\_\_\_\_

Name of Agency: \_\_\_\_\_

**Attachment: Contract Agreement**

## Section XI (C). Contract Agreement

*Notes on standard form of agreement*

*The agreement should incorporate any corrections or modifications to the bid resulting from price corrections and price adjustment during the evaluation process as provided for the in the Instructions to Bidders and any other agreement following award of the contract.*

### Contract Agreement

This Agreement, made the \_\_\_\_\_ day of \_\_\_\_\_, 202 \_\_\_\_\_  
\_\_\_\_\_, between \_\_\_\_\_

\_\_\_\_\_  
[name and address of employer] (hereinafter called "the employer") and \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_ [name and address of contractor] (hereinafter called "the contractor") of the other part.

Whereas the employer is desirous that the contractor execute \_\_\_\_\_

\_\_\_\_\_  
[name and identification number of contract] (hereinafter called "the works") and the employer has accepted the bid by the contractor for the execution and completion of such works and the remedying of any defects therein.

Now with this agreement witnesseth as follow:

1. In this agreement, words and expressions shall have the same meanings as are respectively assigned to them in the conditions of contract hereinafter referred to, and they shall be deemed to form and be read and construed as part of this agreement.
2. The following documents shall be deemed to form and be read and construed as part of the agreement and shall have the order of precedence as listed below:
  - a. Agreement,
  - b. Letter of acceptance
  - c. Contractor's letter of bid
  - d. Special conditions of contract- SCC

- e. General conditions of contract-GCC
- f. Specifications
- g. Drawings
- h. Bills of quantities (or activity schedules), and
- i. Any other documents listed in the SCC as forming part of the contract.

3. In consideration of the payments to be made by the employer to the contractor as specified in the letter of acceptance, the contractor hereby covenants with the employer to execute the works and to remedy defects therein in conformity in all respects with the provisions of the contract.
4. The employer hereby covenants to pay the contractor in consideration of the execution and completion of the works and the remedying of defects therein, the contract price or such other sum as may become payable under the provisions of the contract at the times and in the manner prescribed by the contract.
5. IN WITNESS whereof the parties hereto have caused this agreement to be executed in accordance with the laws of . . . . *[name of the borrowing country]*. . . .on the day, month and year specified above.

Signed by: \_\_\_\_\_

for and on behalf of the Employer

in the  
presence of: \_\_\_\_\_

Witness, Name, Signature, Address, Date

Signed by: \_\_\_\_\_

for and on behalf the Contractor

in the  
presence of: \_\_\_\_\_

Witness, Name, Signature, Address, Date

## Section XI (D). Bank Guarantee Form for Advance Payment

*This sample of advance payment guarantee is for information only. Bidders should not complete the form at this time as only the successful bidder will be required to provide the advance payment guarantee.*

To: *[name and address of employer]*  
*[name and procurement number of contract]*

Gentlemen:

In accordance with the provisions of the conditions of contract, clause 24 "Advance" of the above-mentioned contract, *[name and address of contractor]* (hereinafter called "the contractor") shall deposit with *[name of employer]* a bank guarantee to guarantee his proper and faithful performance under the said clause of the contract in an amount of *[amount of guarantee]* *[amount in words]*.<sup>6</sup>

We, the *[bank or financial institution]*, as instructed by the contractor, agree unconditionally and irrevocably to guarantee as primary obligator and not as surety merely, the payment to *[name of employer]* on his first demand without whatsoever right of objection on our part and without his first claim to the contractor, in the amount not exceeding *[amount of guarantee]* *[amount in words]*.

We further agree that no change or addition to or other modification of the terms of the contract or of works to be performed thereunder or of any of the contract documents which may be made between *[name of employer]* and the contractor, shall in any way release us from any liability under this guarantee, and we hereby waive notice of any such change, addition, or modification.

This guarantee shall remain valid and in full effect from the date of the advance payment under the contract until *[name of employer]* receives full repayment of the same amount from the contractor.

This guarantee is subject to the Uniform Rules for Demand Guarantees, 2010 Revision, ICC Publication No. 758, except that the supporting statement requirement of Article 15(a) is hereby excluded and as may otherwise be stated above.

Yours truly,

Signature and seal: \_\_\_\_\_

Name of Bank: \_\_\_\_\_

<sup>6</sup> An amount is to be inserted by the Bank or Financial Institution representing the amount of the Advance Payment, and denominated either in the currency(ies) of the Advance Payment as specified in the Contract, or in a freely convertible currency acceptable to the Employer.

Address: \_\_\_\_\_

Date:\_\_\_\_\_



## Section XI (E). Performance Security Form

To: *[name of employer]*

WHEREAS *[name of contractor]* (hereinafter called "the contractor") has undertaken, in pursuance of contract No. *[reference number of the contract]* dated *[insert contract date]* to supply *[description of works]* (hereinafter called "the contract").

AND WHEREAS it has been stipulated by you in the said contract that the contractor shall furnish you with a bank guarantee by a reputable bank for the sum specified therein as security for compliance with the contractor's performance obligations in accordance with the contract.

AND WHEREAS we have agreed to give the contractor a guarantee:

THEREFORE WE hereby affirm that we are guarantors and responsible to you, on behalf of the contractor, up to a total of *[amount of the guarantee in words and figures]*, and we undertake to pay you, upon your first written demand declaring the contractor to be in default under the contract and without cavil or argument, any sum or sums within the limits of *[amount of guarantee]* as aforesaid, without your needing to prove or to show grounds or reasons for your demand or the sum specified therein.

This guarantee is valid until the \_\_\_\_\_ day of \_\_\_\_\_ 20 .

This guarantee is subject to the Uniform Rules for Demand Guarantees, 2010 Revision, ICC Publication No. 758, except that the supporting statement requirement of Article 15(a) is hereby excluded and as may otherwise be stated above.

Signature and seal of the guarantors

---

*[name of bank or financial institution]*

---

*[address]*

## Section XI (F). Self-Certification Form

This self-certification form is to be completed by the contractor. The contractor shall submit the completed form together with the signed contract agreement to *[insert name of procuring entity]*. Instructions for completing this form are provided below.

Full legal name of contractor:	
Full legal name of contractor's legal representative and position:	
Full name and number of contract:	
Project with which contract was signed:	
Country:	
Date:	

I hereby certify that I am the authorized representative of *[name of the contractor]*, as well as that the information provided herein is true and accurate in all material respects and understand that any material misstatement, misrepresentation or failure to provide the information requested in this self-certification may result in sanctions and remedies, including the suspension or termination of the contract between the contractor and the procuring entity, as well as the permanent ineligibility to participate in IFAD-financed and/or IFAD-managed activities and operations, in accordance with the IFAD Procurement Guidelines, the IFAD Procurement Handbook and other applicable IFAD policies and procedures, including **IFAD's Policy on Preventing Fraud and Corruption in its Activities and Operations** (accessible at [www.ifad.org/anticorruption\\_policy](http://www.ifad.org/anticorruption_policy)) and its **Policy on Preventing and Responding to Sexual Harassment, Sexual Exploitation and Abuse** (accessible at <https://www.ifad.org/en/document-detail/asset/40738506>).

Authorized Signature: \_\_\_\_\_ Date: \_\_\_\_\_

Printed Name of Signatory: \_\_\_\_\_

- ☐ The contractor certifies that itself, including its director(s), partner(s), proprietor(s), key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NOT** engaged in fraudulent, corrupt, collusive, coercive or obstructive practices, in connection with the present procurement process and this contract.
- ☐ The contractor declares that the following criminal convictions, administrative sanctions (including debarments under the Agreement for Mutual Enforcement of Debarment Decisions or the "Cross-Debarment Agreement")<sup>7</sup> and/or temporary suspensions have been imposed on the contractor and/or any of its directors, partners, proprietors, key personnel, agents, sub-consultants, sub-contractors, consortium and joint venture partners:

Nature of the measure (i.e., criminal conviction, administrative sanction or temporary suspension)	Imposed by	Name of party convicted, sanctioned or suspended (and relationship to contractor)	Grounds for the measure (i.e., fraud in procurement or corruption in contract execution)	Date and time (duration) of measure

If no criminal convictions, administrative sanctions or temporary suspensions have been imposed, indicate "none".

- ☐ The contractor certifies that its director(s), proprietor(s), and personnel, and the personnel of its agents, sub-consultants, sub-contractors, consortium and joint venture partners are **NOT** subject to a criminal conviction, administrative sanctions or investigations for incidents of sexual harassment and sexual exploitation and abuse.
- ☐ The contractor certifies that itself, its proprietor(s), agents, sub-consultants, sub-contractors, consortium and joint venture partners have **NO** actual, potential or reasonably perceived conflicts of interest and specifically that they:
- ☐ Do not have any actual or potential, and do not reasonably appear to have, at least one controlling partner in common with one or more other parties in the bidding process or the execution of the contract ;
  - ☐ Do not have any actual or potential, and do not reasonably appear to have the same legal representative as another bidder for purposes of this bid or execution of the contract;
  - ☐ Do not have any actual or potential, and do not reasonably appear to have a relationship, directly or through common third parties, that puts them in a position to have access to undue or undisclosed information about or influence over the bid process and the execution of the contract, or influence the decisions of the procuring entity regarding the selection process for this procurement or during the execution of the contract;

☐ Do not participate and do not potentially or reasonably appear to participate in more than one bid in this process; and

☐ Do not have any actual or potential, and do not reasonably appear to have, a business or family relationship with, a member of the procuring entity's board of directors or its personnel, the Fund or its personnel, or any other individual that was, has been or might reasonably be directly or indirectly involved in any part of (i) the preparation of the bidding document, (ii) the selection process for this procurement, or (iii) execution of the contract, unless the actual, potential or reasonably conflict stemming from this relationship has been explicitly authorized by the Fund in writing.

☐ **[To be completed only if the previous boxes were not checked]**

The contractor declares the following actual, potential or reasonably perceived conflicts of interest, that may affect, or might reasonably be perceived by others to affect, impartiality in any matter relevant to the procurement process, including the selection process and the execution of the contract, with the understanding and acceptance that any action upon this disclosure shall be entirely under the Fund's discretion:

[provide detailed description of any actual, potential or reasonably perceived conflicts of interest including their nature and the personnel, proprietor(s), agents, sub-consultants, sub-contractors, consortium or joint venture partners affected.]

☐ The contractor certifies that **NO** gratuities, fees, commissions, gifts or anything else of value, other than those shown in the bid, have been paid or exchanged or are to be paid or exchanged with respect to the present procurement process and this contract.

**OR**

☐ **[To be completed only if the previous box was not checked]**

The contractor declares that the following gratuities, fees, commissions, gifts or anything else of value have been exchanged, paid or are to be exchanged or paid with respect to the present procurement process and this contract:

- [Name of Recipient/Address/Date/Reason/Amount]
- [Name of Recipient/Address/Date/Reason/Amount]

☐ The contractor acknowledges and accepts to notify the procuring entity in the event of any material change in connection with this self-certification form throughout the duration of the contract.

<sup>7</sup> The Cross-Debarment Agreement was entered into by the World Bank Group, the Inter-American Development Bank, the African Development Bank, the Asian Development Bank and the European Bank for Reconstruction and Development, additional information may be located at: <http://crossdebarment.org/>.

### **Instructions for completing the self-certification form**

The World Bank listing of ineligible firms and individuals is a searchable database that returns a positive or negative search results page upon submission of a name to be searched, in order to document the eligibility.

**The contractor should print out, date, and attach the results page(s) to the self-certification form, which should read, “no matching records found”.**

If (a) record(s) has/have been found – i.e. the results page(s) shows one or more individuals or entities, including the contractor itself are ineligible for contracts of the World Bank on the grounds of “cross-debarment”, the contractor should provide a detailed account of these sanctions and their duration as applicable or notify the procuring entity and in case the contractor believes the finding is a “false positive”.

The procuring entity will determine whether to proceed with the contract or allow the contractor to make a substitution. This determination will be made on a case by case basis and will require approval by IFAD regardless of the estimated value of the proposed contract.

All of these documents must be retained by the contractor as part of the overall record of the contract with the procuring entity for the duration of the contract and for a minimum period of three years following the completion of the contract.

## **Section XI (G). Revised IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations**

### **I. Introduction**

1. The Fund recognizes that the prevention and mitigation of fraud and corruption in its activities and operations are core components of its development mandate and fiduciary duties. The Fund does not tolerate the diversion or waste of its resources through the practices defined in paragraph 6 below.
2. The objective of this policy is to establish the general principles, responsibilities and procedures to be applied by the Fund in preventing and addressing prohibited practices in its activities and operations.
3. This policy takes effect on the date of its issuance. It supersedes and replaces the IFAD Policy on Preventing Fraud and Corruption in its Activities and Operations (EB 2005/85/R.5/Rev.1) dated 24 November 2005.

### **II. Policy**

#### **A. General principles**

4. The Fund has no tolerance towards prohibited practices in its activities and operations. All individuals and entities listed in paragraph 7 below must take appropriate action to prevent, mitigate and combat prohibited practices when participating in an IFAD-financed and/or IFAD-managed operation or activity.
5. The Fund endeavours to ensure that individuals and entities that help to prevent or report, in good faith, allegations of prohibited practices are protected against retaliation and to protect individuals and entities that are the subject of unfair or malicious allegations.

#### **B. Prohibited practices**

6. The following practices are considered to be prohibited practices when engaged in connection with an IFAD-financed and/or IFAD-managed operation or activity:
  - (a) A “corrupt practice” is the offering, giving, receiving or soliciting, directly or indirectly, of anything of value in order to improperly influence the actions of another party;
  - (b) A “fraudulent practice” is any act or omission, including a misrepresentation, that knowingly or recklessly misleads, or attempts to mislead, a party in order to obtain a financial or other benefit or to avoid an obligation;
  - (c) A “collusive practice” is an arrangement between two or more parties designed to achieve an improper purpose, including improperly influencing the actions of another party;

- (d) A “coercive practice” is impairing or harming, or threatening to impair or harm, directly or indirectly, any party or the property of the party in order to improperly influence the actions of that or another party;
- (e) An “obstructive practice” is: (i) deliberately destroying, falsifying, altering or concealing evidence that may be material to an investigation by the Fund or making false statements to investigators in order to materially impede an investigation by the Fund; (ii) threatening, harassing or intimidating any party in order to prevent that party from disclosing its knowledge of matters relevant to an investigation by the Fund or from pursuing such an investigation; and/or (iii) the commission of any act intended to materially impede the exercise of the Fund’s contractual rights of audit, inspection and access to information.

### **C. Scope**

7. This policy applies to all IFAD-financed and/or IFAD-managed operations and activities and to the following individuals and entities:
  - (a) IFAD staff and other persons working for IFAD as non-staff personnel (“IFAD staff and non-staff personnel”);
  - (b) staff and non-staff personnel”);
  - (c) Individuals and entities holding a commercial contract with the Fund and any of their agents or personnel (“vendors”);
  - (d) Public entities receiving IFAD financing or financing managed by the Fund and any of their agents or personnel (“government recipients”) and private entities receiving IFAD financing or financing managed by the Fund and any of their agents or personnel (“non-government recipients”) (all collectively referred to as “recipients”); and
  - (e) Individuals and entities, other than those referred to above, that receive, apply to receive, are responsible for the deposit or transfer of, or take or influence decisions regarding the use of proceeds from IFAD financing or financing managed by the Fund, including, but not limited to, implementing partners, service providers, contractors, suppliers, subcontractors, sub-suppliers, bidders, consultants and any of their agents or personnel. (All such individuals and entities are collectively referred to as “third parties”).

### **D. Responsibilities**

#### **(i) Responsibilities of the Fund**

8. The Fund endeavours to prevent, mitigate and combat prohibited practices in its operations and activities. This may include adopting and maintaining:
  - (a) Communication channels and a legal framework designed to ensure that this policy is communicated to IFAD staff and non-staff personnel, vendors, recipients and third parties and that it is reflected in procurement documents and contracts relating to IFAD-financed and/or IFAD-managed activities and operations;

- (b) Fiduciary controls and supervisory processes designed to support adherence to this policy by IFAD staff and non-staff personnel, vendors, recipients and third parties;
- (c) Measures relating to the receipt of confidential complaints, whistle-blower protection, investigations, sanctions and disciplinary measures which are designed to ensure that prohibited practices can be properly reported and addressed; and
- (d) Measures designed to ensure that the Fund can report individuals and entities that have been found to have engaged in prohibited practices to other multilateral organizations which may be exposed to similar actions by the same individuals and entities and to local authorities in cases where local laws may have been violated.

**(ii) Responsibilities of IFAD staff and non-staff personnel, vendors and third parties**

9. When participating in an IFAD-financed and/or IFAD-managed operation or activity, IFAD staff and non-staff personnel, vendors and third parties will:
  - (a) Refrain from engaging in prohibited practices;
  - (b) Participate in due diligence checks and disclose, as required, information relating to themselves or any of their key personnel concerning relevant criminal convictions, administrative sanctions and/or temporary suspensions; information concerning agents engaged in connection with a procurement process or contract, including the commissions or fees paid or to be paid; and information concerning any actual or potential conflicts of interest in connection with a procurement process or the execution of a contract;
  - (c) Promptly report to the Fund any allegations or other indications of prohibited practices that come to their attention by virtue of their involvement in an IFAD-financed and/or IFAD-managed operation or activity;
  - (d) Fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity, and by having such accounts, premises, documents and records audited and/or inspected by auditors and/or investigators appointed by the Fund; and
  - (e) Maintain strict confidentiality regarding any and all information received as a consequence of their participation in an IFAD investigation or sanctioning process.
10. When participating in an IFAD-financed and/or IFAD-managed operation or activity, vendors and third parties will maintain all accounts, documents and records relating to that operation or activity for an adequate period of time, as specified in the relevant procurement documents or contract.

**(iii) Responsibilities of recipients**

11. When participating in an IFAD-financed and/or IFAD-managed operation or activity, recipients will take appropriate action to prevent, mitigate and combat prohibited practices. In particular, they will:



- (a) Adopt appropriate fiduciary and administrative practices and institutional arrangements in order to ensure that the proceeds of any IFAD financing or financing managed by the Fund are used only for the purposes for which they were provided;
- (b) During selection processes and/or prior to entering into a contractual relationship with a third party, conduct appropriate due diligence checks of the selected bidder or potential contractor, including by verifying whether the selected bidder or potential contractor is publicly debarred by any of the IFIs that are signatories to the Agreement for Mutual Enforcement of Debarment Decisions<sup>8</sup> and, if so, whether the debarment meets the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions;
- (c) Take appropriate action to inform third parties and beneficiaries (defined as “persons whom the Fund intends to serve through its grants and loans”) of the present policy as well as the Fund’s confidential and secure e-mail address for the receipt of complaints concerning prohibited practices;
- (d) Include provisions in procurement documents and contracts with third parties which:
  - (i) Require third parties to disclose, in the course of a procurement process and any time thereafter, information relating to themselves or any of their key personnel concerning relevant criminal convictions, administrative sanctions and/or temporary suspensions; information concerning agents engaged in connection with a procurement process or the execution of a contract, including the commissions or fees paid or to be paid; and information concerning any actual or potential conflicts of interest in connection with a procurement process or the execution of a contract;
  - (ii) Require third parties to promptly report to the Fund any allegations or other indications of prohibited practices that come to their attention by virtue of their involvement in an IFAD-financed and/or IFAD-managed operation or activity;
  - (iii) Inform third parties of the Fund’s jurisdiction to investigate allegations and other indications of prohibited practices and to impose sanctions on third parties for such practices in connection with an IFAD-financed and/or IFAD-managed operation or activity;
  - (iv) Require third parties to fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD- financed and/or IFAD-managed operation or activity and to have such accounts, premises, records and documents audited and/or inspected

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<sup>8</sup> The Agreement for Mutual Enforcement of Debarment Decisions, dated 9 April 2010, was signed by five of the leading IFIs, namely, the African Development Bank Group, the Asian Development Bank, the European Bank for Reconstruction and Development, the Inter-American Development Bank and the World Bank Group.

- (v) by auditors and/or investigators appointed by the Fund;
  - (vi) Require third parties to maintain all accounts, documents and records relating to an IFAD-financed and/or IFAD-managed operation or activity for an adequate period of time as agreed with the Fund;
  - (vii) Inform third parties of the Fund's policy of unilaterally recognizing debarments imposed by other IFIs if such debarments meet the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions; and
  - (viii) Provide for early contract termination or suspension by the recipient if such termination or suspension is required as a consequence of a temporary suspension or sanction imposed or recognized by the Fund;
- (e) Promptly inform the Fund of any allegations or other indications of Prohibited Practices that come to their attention;
  - (f) Fully cooperate with any investigation conducted by the Fund, including by making personnel available for interviews and by providing full access to any and all accounts, premises, documents and records (including electronic records) relating to the relevant IFAD-financed and/or IFAD-managed operation or activity and to have such accounts, premises, documents and records audited and/or inspected by auditors and/or investigators appointed by the Fund;
  - (g) Maintain all accounts, documents and records relating to an IFAD-financed and/or IFAD-managed operation or activity for an adequate period of time, as specified in the relevant financing agreement; and
  - (h) Maintain strict confidentiality regarding any and all information received as a consequence of their participation in an IFAD investigation or sanctioning process.
12. Where the Fund finds that prohibited practices have occurred, recipients will: (a) Take appropriate corrective measures in coordination with the Fund; and (b) Give full effect to any temporary suspension or sanction imposed or recognized by the Fund, including by not selecting a bidder, not entering into a contract or suspending or terminating a contractual relationship.
13. Prior to the implementation of an IFAD-financed and/or IFAD-managed operation or activity, government recipients will inform the Fund of the arrangements that they have made for receiving and taking action in response to allegations of fraud and corruption relating to the IFAD-financed and/or IFAD-managed operation or activity, including by designating an independent and competent local authority to be responsible for receiving, reviewing and investigating such allegations.
14. When participating in an IFAD-financed and/or IFAD-managed operation or activity, government recipients will, in consultation with the Fund, take timely and appropriate action to launch a local investigation into allegations and/or other indications of fraud and corruption relating to the IFAD-financed and/or IFAD-managed operation or activity; inform the Fund of the actions taken in any such investigation at such intervals as may be agreed upon by the recipient and the Fund on a case-by-case basis; and, upon the completion of such investigation, promptly share the findings and results

thereof, including the supporting evidence, with the Fund. Government recipients will work with the Fund to coordinate any actions other than investigations that they may wish to undertake in response to an alleged or otherwise indicated prohibited practice.

15. Government recipients are encouraged to have in place, in accordance with their laws and regulations, effective whistle-blower protection measures and confidential reporting channels in order to appropriately receive and address allegations of fraud and corruption relating to IFAD-financed and/or IFAD-managed operations and activities.

## **E. Process**

### **(i) Reporting**

16. A designated confidential and secure e-mail address for the receipt of allegations of prohibited practices is available on the Fund's website.
17. In the event of uncertainty as to whether or not an act or omission constitutes a prohibited practice, the designated confidential and secure e-mail address may be used to seek guidance.
18. The Fund treats all reported allegations with strict confidentiality. This means that the Fund does not normally reveal the identity of a reporting party to anybody outside of the investigative, sanctioning or disciplinary process without the consent of the reporting party.
19. The Fund endeavours to provide protection from retaliation to any individuals or entities that have helped prevent or have reported to the Fund, in good faith, allegations or other indications of prohibited practices. IFAD staff and non-staff personnel are protected from retaliation under the Fund's Whistle-blower Protection Procedures.

### **(ii) Investigations**

20. Where the Fund has reason to believe that prohibited practices may have occurred, the Fund may decide to review and investigate the matter, irrespective of any investigative actions launched or planned by the recipient.
21. The purpose of an investigation conducted by the Fund is to determine whether an individual or entity has engaged in one or more prohibited practices in connection with an IFAD-financed and/or IFAD-managed operation or activity.
22. Reviews and investigations conducted by the Fund are, inter alia:
  - (a) Strictly confidential, meaning that the Fund does not disclose to anyone outside of the investigative, sanctioning or disciplinary process any evidence or information relating to the review or investigation, including the outcome of a review or investigation, unless such disclosure is allowed under the Fund's legal framework;
  - (b) Independent, meaning that no authority is allowed to interfere with an ongoing review or investigation or to otherwise intervene in, influence or stop such a review or investigation; and
  - (c) Administrative, as opposed to criminal, in nature, meaning that reviews and investigations conducted by the Fund are governed by the Fund's rules and procedures, not by local laws.

23. The office within the Fund that is mandated to conduct reviews and investigations into alleged or otherwise indicated prohibited practices is the Office of Audit and Oversight (AUO). Without prejudice to paragraphs 9(d) and 11(f), AUO may agree not to disclose to anybody outside of AUO any evidence or information that it has obtained on the condition that such evidence or information may be used solely for the purpose of generating new evidence or information, unless the provider of the evidence or information consents.

## **F. Sanctions and related measures**

### **(i) Temporary suspensions**

24. During the course of an IFAD review or investigation, or pending the conclusion of a sanctioning process, the Fund may decide, at any time, to temporarily suspend payments to IFAD non-staff personnel, non-government recipients, vendors or third parties or to temporarily suspend their eligibility to participate in IFAD- financed and/or IFAD-managed operations and activities for an initial period of six (6) months, subject to a possible extension of that suspension for an additional six (6) months.

25. IFAD staff may be temporarily suspended from their duties in accordance with the applicable human resources framework.

### **(ii) Sanctions**

26. If the Fund determines that IFAD non-staff personnel, non-government recipients, vendors or third parties have engaged in prohibited practices, the Fund may impose administrative sanctions on such individuals or entities.

27. Sanctions are imposed on the basis of: (i) the findings and evidence presented by AUO, including mitigating and exculpatory evidence; and (ii) any evidence or arguments submitted by the subject of the investigation in response to the findings presented by AUO.

28. The Fund may apply any of the following sanctions or a combination thereof:

- (a) Debarment, which is defined as declaring an individual or entity ineligible, either indefinitely or for a stated period of time, to: (i) be awarded any IFAD- financed contract; (ii) benefit, financially or otherwise, from any IFAD- financed contract, including by being engaged as a subcontractor; and (iii) otherwise participate in the preparation or implementation of any IFAD- financed and/or IFAD-managed operation or activity;
- (b) Debarment with conditional release, which is defined as a debarment that is terminated upon compliance with conditions set forth in the sanction decision;
- (c) Conditional non-debarment, which is defined as requiring an individual or entity to comply with certain remedial, preventive or other measures as a condition for non-debarment on the understanding that a failure to comply with such measures within a prescribed period of time will result in an automatic debarment under the terms provided for in the sanction decision;
- (d) Restitution, which is defined as a payment to another party or the Fund (with respect to the Fund's resources) of an amount equivalent to the amount of the

diverted funds or the economic benefit obtained as a result of having engaged in a prohibited practice; and

- (e) Letter of reprimand, which is defined as a formal letter of censure for the actions of an individual or entity which informs that individual or entity that any future violation will lead to more severe sanctions.

29. The Fund may extend the application of a sanction to any affiliate of a sanctioned party even if the affiliate has not been directly involved in the prohibited practice. An affiliate is defined as any individual or entity that is: (i) directly or indirectly controlled by the sanctioned party; (ii) under common ownership or control with the sanctioned party; or (iii) acting as an officer, employee or agent of the sanctioned party, including owners of the sanctioned party and/or those who exercise control over the sanctioned party.

30. For the purposes of IFAD-financed and/or IFAD-managed operations and activities, the Fund may consider as debarred individuals and entities that have been debarred by another IFI where: (i) that IFI is a signatory to the Agreement for Mutual Enforcement of Debarment Decisions; and (ii) such debarment meets the requirements for mutual recognition under the Agreement for Mutual Enforcement of Debarment Decisions.<sup>9</sup>

### **(iii) Disciplinary measures**

31. If the Fund finds that IFAD staff have engaged in prohibited practices, the Fund may apply disciplinary measures and may require restitution or other compensation in accordance with the applicable human resources framework.

## **G. Referrals and information-sharing**

32. The Fund may, at any time, refer information or evidence relating to an ongoing or completed investigative, sanctioning or disciplinary process to the local authorities of a Member State. In determining whether such a referral is appropriate, the Fund takes into consideration the interests of the Fund, the affected Member States, the individuals or entities under investigation and any other persons, such as witnesses, who are involved in the case.

33. If the Fund obtains information or evidence indicating potential wrongdoing in connection with the operations and/or activities of another multilateral organization, the Fund may make such information or evidence available to the other organization for the purposes of its own investigative, sanctioning or disciplinary processes.

34. In order to facilitate and regulate the confidential exchange of information and evidence with local authorities and multilateral organizations, the Fund seeks to conclude agreements which establish the rules for such an exchange.

## **H. Operational responses to Prohibited Practices**

### **(i) Rejection of an award of contract**

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<sup>9</sup> The Fund may, in the future, decide to also recognize debarments imposed by entities that are not signatories to the Agreement for Mutual Enforcement of Debarment Decisions.

35. The Fund may refuse to give its no-objection to the award of a contract to a third party if it determines that the third party, or any of its personnel, agents, subconsultants, subcontractors, service providers, suppliers and/or their employees, engaged in a prohibited practice while competing for the contract in question.

**(ii) Declaration of misprocurement and/or ineligibility of expenditures**

36. The Fund may, at any time, declare a misprocurement and/or the ineligibility of any expenditures associated with a procurement process or contract if it determines that a third party or a representative of the recipient has engaged in a prohibited practice in connection with the procurement process or contract at issue and that the recipient has not taken timely and appropriate action, satisfactory to the Fund, to address such practices when they occur.

**(iii) Suspension or cancellation of loan or grant**

37. If the Fund determines that a recipient has not taken timely and appropriate action, satisfactory to the Fund, to address prohibited practices when they occur, the Fund may suspend or cancel, in whole or in part, the loan or grant affected by such practices.